Type of Trial	Protocol No. (Country)	Trial Design and Objective	Treatment Groups	Number of Subjects/Health Subjects or Diagnosis of Patients	Demographics by Treatment Group (No. of Subjects)	Duration of Treatment	Trial Star Type o Repor
E, S	A7501013  Brazil (5 centers), Canada (6 centers), Chile (7 centers), Mexico (2 centers), United States (77 centers)	A multicenter, double-blind, flexible-dose, 6-month trial comparing the efficacy and safety of asnapine with Oanzapine in stable subjects with predominant, persistent negative symptoms of schizophrenia	placebo Route: SL tablet or film-coated tablet  asenapine Route: SL tablet Dose Regimen: 5 – 10 mg BID  Oanzapine Route: film-coated tablet Dose Regimen: 5 – 20 mg QD	444 planned schizophrenic patients	Not available	26 weeks	Started: December 2004 Status: Ongoing interim
E, S	A7501014  Canada (4 centers), Chile (4 centers), Mexico (1 center), United States (69 centers)	A multicenter, double-blind, flexible-dose, 6-month extension trial comparing the safety and efficacy of asenapine with Oanzapine in subjects who completed protocol A7501013	placebo Route: SL or film-coated tablet  asenapine Route: SL tablet Dose Regimen: 5 – 10 mg BID  Oanzapine Route: film-coated tablet Dose Regimen: 5 – 20 mg QD	300 Planned schizophrenic patients	Not available	52 weeks total duration	Started: July 2005 Status: Ongoing interim
Type of Trial	Protocol No. (Country)	Trial Design and Objective	Treatment Groups	Number of Subjects/Health Subjects or Diagnosis of Patients	Demographics by Treatment Group (No. of Subjects)	Duration of Treatment	Trial Stat Type o Repor
S, PK	A7501021 United States (39 centers)	A Randomized, Parallel Group, Multiple Dose, 6- Week Study to Evaluate Safety, Tolerability and Pharmacokinetics of asenapine in Elderly Subjects with Psychosis	asenapine Route: SL tablet Dose Regimen: 5 -10 mg BID	120 planned Elderly patients with psychosis disorder	Not available	6 weeks	Started: February 2 Status: Ongoing interim

# **APPENDIX 3** 22-117 Asenapine Literature

[RLL Synopsis of articles that the sponsor has provided]

# Backman 2006

Rofecoxib is a potent inhibitor of CYP1A2. CYP1A2 substrates include: clozapine, olanzapine, tacrine, zolmitriptan, and melatonin.

# Benzer 2005

Neuroleptic malignant syndrome review. NMS is characterized by fever, muscular rigidity, altered mental status, and autonomic dysfunction. All typical and atypical antipsychotic medications can precipitate the syndrome. NMS has also been associated

with other types of drugs that block central dopamine pathways. All medications implicated in NMS have dopamine D2-receptor antagonist properties. The development of the syndrome is thought to be secondary to decreased dopamine activity in the CNS, either from blockade of D2 receptors or decreased availability of dopamine itself. NMS has features similar to malignant hyperthermia and serotonin syndrome.

The incidence of mortality in cases of NMS is approximately 5-12%. Death usually results from respiratory failure, cardiovascular collapse, myoglobinuric renal failure, arrhythmia, disseminated intravascular coagulation (DIC). Morbidity from NMS includes rhabdomyolysis, pneumonia, renal failure, seizure, arrhythmia, DIC, and respiratory failure.

During treatment with antipsychotic drugs, NMS is more likely to occur soon after initiation of treatment or after an increase in the dose. On average, NMS occurs about 4-14 days after initiation of therapy. Approximately 90% of patients who develop NMS do so within 10 days of beginning antipsychotic treatment.

# Chopra 1999

The Neuroleptic Malignant Syndrome: An Indian Experience. The authors discuss 13 cases of NMS treated in an intensive care unit in a large teaching hospital. Mortality rate in these cases was 38%. Patients with NMS had a higher incidence of coexisting medical and neurological illness and a higher mean antipsychotic dose than matched patients treated with antipsychotic medications. Higher potency antipsychotic drugs were also implicated.

# Christensen 2002

Fluvoxamine inhibits CYP1A2 and CYP2C19

#### Craig 2006

'Rhabdomyolysis'

Pathophysiology: rhabdomyolysis is the breakdown of muscle fibers with leakage of potentially toxic cellular contents into the systemic circulation. The final common pathway of rhabdomyolysis may be a disturbance in myocyte calcium homeostasis. Clinical sequelae of rhabdomyolysis include the following:

- Hypovolemia (sequestration of plasma water within injured myocytes)
- Hyperkalemia (release of cellular potassium into the systemic circulation)
- Metabolic acidosis (release of cellular phosphate and sulfate)
- Acute renal failure (nephrotoxic effects of liberated myocyte components)
- Disseminated intravascular coagulation (DIC)

In the U.S., rhabdomyolysis accounts for an estimated 8-15% of cases of acute renal failure. The overall mortality rate for patients with rhabdomyolysis is approximately 5%; however, the mortality rate of any single patient is dependent upon the underlying etiology and any existing comorbidities. Usually presents with muscle pain, and sometimes dark urine. Common risk factors include alcohol abuse, soft tissue

compression, and seizure. Other causative factors include trauma, exertion, drug abuse, metabolic abnormalities, hypothermia, viral illness, flulike illness, burns, sepsis, ischemia, polymyostis, hereditary disorders, drug overdose, and gangrene.

# Deng 1990

NMS in Chinese inpatients exposed to neuroleptics.

# Friedman 1988

Neuroleptic Malignant Syndrome: The results of a 6-month prospective study of Incidence in a state psychiatric hospital. Just one single case out of 495 patients exposed to antipsychotic medication.

# Gelenberg 1988

A Prospective Survey of Neuroleptic Malignant Syndrome in a Short-Term Psychiatric Hospital. Only one patient developed NMS out of 1,470 patients treated with antipsychotic medication (rate of 0.07% per year). The low rate may be due to use of relatively low doses of neuroleptic medication.

Gelenberg 1989- people with history of NMS and rechallenge.

Granfors 2004: ciprofloxacin inhibits CYP1A2

Granfors 2005a: fluvoxamine inhibits CYP1A2

<u>Granfors 2005b:</u> oral contraceptives containing ethinyl estradiol and gestodene inhibits CYP1A2 (markedly increases tizanidine concentrations)

#### Hermesh 1992

Risk for NMS. Two series of consecutive psychiatric inpatients. At higher risk: patients with Bipolar Disorder and patients treated with injections (higher potency). Bipolar risk may be at least partly related to lithium exposure and high level of agitation.

#### Kapur 2001

Dopamine D2 receptor antagonism and their role in the activity of atypical antipsychotic drugs

#### Keck 1987

Frequency and Presentation of NMS (a prospective study)

#### Keck 1989

Ditto.

#### Keck 1991

Declining Frequency of NMS: increased awareness, diagnosis, intervention, treatment, less use of intramuscular medications.

#### Khan 2001

Placebo treatment and symptom reduction and suicide risk in FDA databases of clinical trials in acute Schizophrenia. Suicide and suicide attempts did not differ significantly. In the placebo group, there was almost no improvement of symptoms.

# Mackay 1998

Drug Safety Research Unit, United Kingdom. The DSRU is the centre for prescription event monitoring (PEM). PEM studies are noninterventional observational cohort studies that monitor the safety of newly marketed drugs.

#### Marder 1997

The effect of risperidone on the five dimensions of Schizophrenia derived by factor analysis: combined results of the North American Trials. Positive symptoms, negative symptoms, disorganized thinking, uncontrolled hostility and excitement, and anxiety/depression. Dr. Marder and colleagues state that risperidone has important over haloperidol. Risperidone produced greater improvements on all five dimensions of Schizophrenia. Especially negative symptoms, uncontrolled hostility and excitement, and anxiety/depression.

#### Meltzer 1996

Marked elevations of creatine kinase activity associated with antipsychotic drug treatment: markedly elevated serum CK occurred in about 10% of patients treated with the six antipsychotic drugs. May be related to increased permeability of cell membrane. This may be related to serotonergic activity. The increases were not related to NMS. Only one of these patients had rhabdomyolysis as evidenced by myoglobinuria.

#### Nolte 1991

Rhabdomyolysis associated with cocaine use. Skeletal muscle necrosis without vasulitis.

## Roth 1988

Acute rhabdomyolysis associated with cocaine intoxication. Rhabdomyolysis, renal failure, severe liver dysfunction, disseminated intravascular coagulation.

# Siris 2001

Suicide and Schizophrenia. Studies estimate that approximately 10% of schizophrenic patients complete suicide. Risk factors include being young, male, early in the course of illness, high socioeconomic background, high intelligence, having high expectations, recently discharged from the hospital, depressive symptoms, and AKATHISIA. Dr. Siris knows.

#### Teraro 1999

CPK can be benign

# Tohen 1999

Olanzapine treats acute mania

# Tohen 2000

Olanzapine treats acute mania

#### Venkatakrishnan 2005

CYP2D6 inhibited by paroxetine

#### Muscal 2007

Rhabdomyolysis.

Myalgia, muscle weakness, and dark urine. The triad is rarely observed together. Life-threatening renal failure and disseminated intravascular coagulation are the most dreaded complications. Correct fluid and electrolyte abnormalities.

# 22-117 BIOPHARM meeting topics

#### Formulation:

Asenapine tablets are available in two strengths (5 mg and 10 mg). It is intended for sublingual administration. Tablets are manufactured by suspending asenapine maleate into an aqueous solution of gelatin and mannitol, followed by suspension. Dosing: for Schizophrenia, begin with 5 mg to 10 mg BID, starting with 5 mg BID. For acute mania, begin with 10 mg BID.

As enapine was initially developed as an oral formulation, but, due to extremely low bioavailability (< 2%), the oral formulation was discontinued in favor of a fast-dissolving tablet for sublingual administration. The low bioavailability of orally administered as enapine is due to extensive first-pass metabolism in the liver (and probably the gut as well). Therefore, a sublingual formulation was developed to circumvent the hepatogastrointestinal first-pass metabolism. The bioavailability of as enapine after sublingual dosing is considerably higher (35%) than after oral dosing.

Potential problems with formulation and route of administration: (sublingual is necessary, due to the extremely low bioavailability of asenapine. There is significant loss of a dose if it is swallowed.

Metabolite assessment (per Ron: "commendable"); the assessment was detailed and thorough.

- Parent drug is the active moiety
- Many metabolites ~38; exposures to each are quite low; none are highly prevalent
- None are > 7% of urine radioactivity
- CYP1A2 has some role; fluvoxamine inhibition ↑exposure ~30%
- CYP1A2 induction by carbamazepine ↓ exposure by ~18%
- The smoking induction didn't really do much, because the subjects were smokers.
- With severe hepatic impairment, AUC increases 7-fold
- With supratherapeutic doses, subjects had acute dystonia
- Tablet administration results in asenapine dissolution of 4 mg/mL

- Sublingual administration yields a mean (across studies) bioavailability of ~36%
- Sublingual bioavailability may be significantly variable, depending on the amount of saliva, swallowing, anticholinergic status, food and water intake
- Look at the three-way administration study: sublingual, supralingual, buccal

#### APPENDIX 4 INVESTIGATORS AND CLINICAL SITES

-----Appendix for 041004-----

#### **Investigators and Sites**

- **01-** George Ainslie, M.D., Department of Veterans Affairs Medical Center, Coatesville. PA
- 02- Ronald Brenner, M.D., Neurobehavioral Research, Inc. Lawrence, NY
- 03- George Chappell, M.D., Providence St. Peter Hospital, Olympia, WA
- 04- Paul Keck, M.D., Univ. of Cincinnati College of Medicine, Cinc., OH
- 05- Carlos Figueroa, M.D., BHC Alhambra Hospital, Rosemead, CA
- 07- Clifford Goldman, M.D., ClinCearch, Kenilworth, NJ
- 08- Robert Horne, M.D., North Las Vegas, NV
- 09- Adel Wassef, M.D., UT Health Sciences Center, Houston Texas
- 11- Michael Lesem, M.D., Claghorn-Lesem Clinical Research, Bellaire, TX
- 12- Robert Litman, M.D., Center for Behavioral Health, Rockville, MD
- 13- Rick Mofsen, D.O., Clinical Research Associates, St. Louis, MO
- 14- Steve Potkin, M.D., Univ. of California Irvine Medical Center, Orange, CA
- 15- Clifford Roberson, M.D., Tennessee Christian Medical Center, Madison, TN
- 16- David Sack, M.D., Institute for Psychopharmacology Research, Cerritos, CA
- 17- Scott Segal, M.D., North Miami, FL
- 18- Seeth Vivek, M.D., Jamaica Hospital Medical Center, Jamaica, NY
- 19- Tram Tran-Johnson, M.D., California Neuropsychopharmacology Clinical Research Institute, San Diego, CA
- 20- Cherian Verghese, M.D., Albert Einstein Medical Center, Philadelphia, PA
- 24- Robert Litman, M.D., Washington Hospital Center, Washington, DC
- 25- Mohammed Bari, M.D., Synergy Clinical Research, Chula Vista, CA
- 27-David Brown, M.D., Community Clinical Research, Austin, TX

Site #	Site Name	Randomized	Treated	ITT analysis	Per Protocol
		(n)	(n)	(n)	analysis (n)
01	Coatesville, PA	3	3	2	0
02	Lawrence, NY	6	6	6	5
03	Olympia, WA	2	2	2	2
04	Cincinnati, OH	3	3	3	2
05	Rosemead, CA	9	9	9	5
07	Kenilworth, NJ	4	3	3	0
08	North Las Vegas, NV	1	1	1	0
09	Houston, TX	9	9	9	5
11	Houston, TX	21	21	21	17
12	Rockville, MD	6	6	6	5
13	St. Louis, MO	14	14	13	13
14	Orange, CA	9	9	8	6
15	Madison, TN	9	9	9	6

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16	Cerritos, CA	18	17	16	13
17	North Miami, FL	12	12	12	10
18	Jamaica, NY	9	9	9	8
19	San Diego, CA	20	20	20	18
20	Philadelphia, PA	6	6	6	4
24	Washington, DC	6	6	6	1
25	Chula Vista, CA	9	9	7	5
27	Austin, TX	6	6	6	5
All	Total	182	180	174	130

Combining sites for the ITT analysis:

Twenty sites were planned for the trial. Three sites failed to recruit subjects, and three additional sites were used. A total of 21 sites recruited subjects. To determine potential treatment by site interactions, a minimum of 6 ITT population subjects were required from each center. However, not all centers had 6 ITT subjects. Therefore, for the purposes of analysis, sites 01, 03, 04, 07, and 08 were combined into a composite center with 11 subjects in the ITT population.

# **Investigators and Sites for Study 041021**

- 01- Scott Aaronson- Sheppard Pratt Health System, Baltimore, MD
- 02- Jose Alvarez\* (did not enroll subjects)
- 03- Jeffrey Borenstein-Holliswood Hospital, Holliswood, NY
- 04- Ronald Brenner- Neurobehavioral Research Inc., Floor, Lawrence, NY
- 05- Toni Carman- Research Strategies Inc., Chagrin Falls, OH
- 06- Leslie Citrome- Nathan S. Kline Institute for Psychiatric Research, Orangeburg, NY
- 07- Robert Horne- Montevista Hospital, Las Vegas, NV
- 08- James Knutson- Eastside Therapeutic Resource, Kirkland WA
- 09- Angelos Halaris- VA Medical Center, Hines IL
- 10- Robert Litman- Centers for Behavioral Health LLC, Baltimore MD
- 11- Adam Lowy- Comprehensive NeuroScience Inc., Washington, DC
- 12- Andrew Cutler- Florida Clinical Research Center LLC, Bradenton FL
- 13- Denis Mee-Lee- Hawaii Clinical Research Center, Honolulu HI
- 14- Robert Dahmes- Louisiana Research Associates, New Orleans LA
- 15- Bradley Diner- Arkansas Psychiatric Clinic PA
- 16- William Fuller- Avera Research Institute, Sioux Falls SD
- 17- Clifford Roberson\*(did not enroll subjects)
- 18- Lev Gertsik- California Clinical Trials, Glendale CA
- 19- Morteza Marandi- Comprehensive Neuroscience Inc., Cerritos CA
- 20- Steven Holroyd- Research Strategies Inc., Reno NV
- 21- Mary Knesevich- University Hills Clinical Research, Irving TX
- 22- Jelena Kunovac- Excell Research, Oceanside CA
- 23- David Walling- CNS Network, Garden Grove CA
- 24- Henry Nasrallah- University of Cincinnati Medical Center, Cincinnati OH
- 25- Stephen Mohaupt- California Clinical Trials, Anaheim CA
- 26- Rajaprabhakaran Rajarethinam\*(did not enroll subjects)
- 27- Suhas Shanbhag- ClinSearch Inc, Kenilworth NJ
- 28- Kenneth Sokolski- Clinical Innovations, Santa Ana, CA
- 29- Nicholas Vatakis- Social Psychiatry Research Institute, New York, NY
- 30- Alexander Miller- University of Texas Health Science Center at San Antonio
- 31- Larry Ereshefsky-California Clinical Trials, Culver City CA
- 32- David Feifel University of California at San Diego Medical Center
- 33- Michael Levy\*(did not enroll subjects)
- 34- Duong Nguyen- Woodland International Research Group LLC, Little Rock AR

- 35- Douglas Dolnak- California Clinical Trials, San Diego CA
- 36- Leonid Bardenstein- City Psychiatric Hospital #15, Moscow Russia
- 37- Galina Panteleyeva- Mental Health Research Centre of RAMS, Moscow Russia
- 38- Margarita Morozova- City Psychiatric Hospital #14, Moscow Russia
- 39- Anatoly Smulevich- City Psychiatric Hospital #1, Moscow Russia
- 40- Isaak Gurovich- Moscow Scientific Research Institute of Psychiatry, Moscow Russia
- 41- Iryna Y. Vlokh-Lviv State Medical University, Lviv Ukraine
- 42- Oleg S. Chaban-Ukrainian Research Institute of Social, Forensic Psychiatry and Drug Abuse, Kiev Ukraine
- 43- Vladyslav A. Demchenko-Kiev City Psychoneurological Hospital #2, Kiev Ukraine
- 44- Valeriy S. Bitensky- Odessa Medical University, Department of Psychiatry, Odessa Ukraine
- 45- Vitaliy Y. Pishel- Ukrainian Research Institute of Social, Forensic Psychiatry and Drug Abuse, Kiev Ukraine
- 46- Svitlana Y. Kazakova- Lugansk State Medical University, Department of Psychiatry, Lugansk Regional Psychoneurological Hospital, Lugansk Ukraine
- 47- Svitlana M. Moroz-Psychosomatic Center of Dnepropetrovsk, Dnepropetrovsk Ukraine
- 48- Viktor P. Samokhvalov- Crimean State Medical University Department of Psychiatry, Psychotherapy, Narcology, Simferopol Ukraine
- 49- Lyudmyla N. Yur'yeva- Dnepropetrovsk State Medial Academy, Curanive-preventive Amalgation Interoblast Clinical Psychineurological Center, Dnepropetrovsk Ukraine

# Investigators and Clinical Sites for Study 041022

#### Title of the clinical trial

A multicenter, randomized, double-blind, flexible -dose, 6-week trial of the efficacy and safety of asenapine compared with placebo using olanzapine positive control in subjects with an acute exacerbation of schizophrenia

#### Investigators

- Mohammed Bari
- Tram Trans-Johnson 2)
- 3) Steven Potkin
- Robert Manning
- 5) Douglas Dolnak
- 6) Michael Plopper
- 7) David Marks
- Madeleine Valencerina
- 9) **Edward Burdick**
- 10) Sohail Punjwani
- 11) Mark Lerman
- 12) Ricky Mofsen Joseph McEvoy 13)
- 14) Steven Glass
- 15) Jose Canive
- 16) Miranda Chakos
- 17) Rakesh Ranjan

- 18) Mark Novitsky
- 19) Michael Lesem
- 20) David Brown
- 21) Jason Baron 22) Rajinder Shiwach
- 23) Charles Bailey
- 24) Mikhail Burdukovsky
- 25) Nikolay Neznanov
- 26) Svitlana Kazakova
- 27) Svitlana Moroz
- 28) Viktor Samokhvalov
- 29) Lyudmyla Yur'yeva
- 30) Oleksandr Napryeyenko
- 31) Evgenia Reprova
- 32) Seeth Vivek
- 33) Philip Janicak a

Thirty (30) sites randomized subjects.

<sup>&</sup>lt;sup>a</sup> Sites did not randomize subjects.

#### Clinical trial centers

- Synergy Clinical Research, 5577 University Avenue, Chula Vista, CA 92105
- CNRI San Diego LLC. 9466 Black Mountain Road. Suite 100, San Diego, CA 92126
- UCI Medical Center, 101 The City Drive Way, Orange, CA 92868
- CNRI Los Angeles LLC, 8309 Telegraph Road, Pico Rivera, CA 90660
- California Clinical Trials, 3625 Ruffin Road, Suite 100, San Diego, CA 92123
- Sharp Mesa Vista Hospital, 7850 Vista Hill Ave., San Diego, CA 92123 6)
- Optimum Health Services, 7200 Parkway Drive, Suite 116, La Mesa, CA 91942 7)
- Kedren Community Mental Health Center, 4211 South Avalon Boulevard, Los Angeles, CA 90011
- Segal Institute for Clinical Research, 1065 N.E. 125th Street, Suite 417, North Miami, FL 33161
   Segal Institute for Clinical Research, 1065 N.E. 125th Street, Suite 417, North Miami, FL 33161
- 11) Comprehensive NeuroScience Inc., 1721 Moon Lake Blvd., Suite 109, Hoffman Estates, IL 60194
- 12) Clinical Research, Inc., 2639 Miami Street, St Louis, MO 63118
- 13) John Umstead Hospital, 1003 12th Street, Bldg. 32 Ward 321, Butner, NC 27509
- 14) CNS Research Institute (CRI), 130 White Horse Pike, Clementon, NJ 08021
- 15) VA Medical Center, 1501 San Pedro SE, Psychiatry Service 116A, Albuquerque, NM 87108
- 16) SUNY Downstate Medical Center, 450 Clarkson Avenue, Box 1203, Brooklyn, NY 11238
- 17) Rakesh Ranjan M.D. and Associates Inc., 600 East Smith Road, Suite H, Medina, OH 44256
- 18) Quantum Clinical Services, 111 North 49th Street, Kirkbride Center, Philadelphia, PA 19139
- 19) Claghorn-Lesem Research Clinic, Inc., 6750 West Loop South, Suite 1050, Bellaire, TX 77401
- 20) Community Clinical Research, Inc., 12151 Hunters Chase, Austin, TX 78729
- 21) MedLabs Research of Houston Inc., 6260 Westpark, Suite 250, Houston, Tx 77057
- 22) InSite Clinical Research, 2000 Old Hickory Trial, DeSoto, TX 75115
- 23) Accurate Clinical Trials, 206 Park Place Blvd, Suite 247, Kissimmee, FL 34741
- 24) City Psyciatric Hospital No4, 75, Obvodny Canal Embankment, 191119, St. Petersburg, Russia
- 25) City Psyciatric Hospital No6, 13, Obvodny Canal, 193167, St. Petersburg, Russia
- 26) Lugansk State Medical University, Department of Psychiatry, Lugansk Regional Psychoneurological Hospital, 22, 50 let Oborony Luganska str., Lugansk, 91045 Ukraine
- 27) Psychosomatic Center of Dnepropetrovsk regional clinic, 14, Oktyabrskaya sq., Dnepropetrovsk, 49616 Ukraine
- 28) Crimean State Medical University, Department of Psychiatry, Psychotherapy, Narcology Crimean Republican Clinical Psychoneurological Hospital No1, 27, R. Luxemburg str., Simferopol, 95006, Ukraine
- 29) Dnepropetrovsk State Medical Academy, Curanive-preventiveAmalgation Interoblast Clinical Psychineurological Center, MoH Ukraine, Dep. Of Psychiatry of Post Graduate Education, 1, Behtereva str., Dnepropetrovsk, 9115, Ukraine
- 30) National Medical University, Department of Psychiatry, City Clinical Psychonevrological Hospital No1, 103 Frunze Str., Kiev, Ukraine
- 31) City Psyciatric Hospital No3 named after Skvortsov-Stepanov, 36, Fermskoe shosse, 197341, St. Petersburg, Russia
- Jamaica Hospital Medical Center, 8900 Van Wyck Expressway, Jamaica, NY 11418
- 33) Rush University Medical Center, 1720 West Polk, Office 111, Chicago, Il 60612

#### Investigators and Clinical Sites for Study 041023

#### Title of the clinical trial

A multicenter, randomized, double-blind, fixed-dose, 6-week trial of the efficacy and safety of asenapine compared with placebo using haloperidol positive control in subjects with an acute exacerbation of schizophrenia (041023)

#### Investigators

- Gary Booker
- Daniel Anderson
- 3) Saroj Brar
- David Flaherty 4)
- Joseph Kwentus
- Carlos Figueroa 6)
- 7) Herbert Meltzer
- Donald Garcia
- Robert Reisenberg<sup>a</sup> 9)
- 10) Anantha Shekhar
- 11) Richard Jaffe
- 12) Franco Sicuro
- 13) Bart Sloan
- 14) Cherian Verghese b
- 15) Daniel Zimbroff
- 16) Guy Brannon
- 17) Norman Costigan
- 18) Alan Jacobson
- 19) Mohammed Alam a
- 20) Scott Segal
- 21) Himasiri De Silva
- 22) Ramanath Gopalan
- 23) T. P. Sudhakar
- 24) J. K. Trivedi <sup>a</sup>
- 25) Ramanathan Sathianathan

- 26) Sanjay Phadke
- 27) N. N. Raju
- 28) Rajesh Nagpal
- 29) P. S. V. N. Sharma
- 30) Lakshman Dutt
- 31) Mikhail Sheifer
- 32) Alexander Mouzitchenko
- 33) Alexander Reznik
- 34) Elena Grigorieva
- 35) Yuri Alexandrovsky
- 36) Alexander Kociubynski
- 37) Mikhail Popov
- 38) Lala Kasimova
- 39) Kausar Yakhin
- 40) Mikhail Burdukovsky b
- 41) Evgenia Rebrova
- 42) Nikolay Neznanov
- 43) Victoria Burtea
- 44) Irina Dan
- 45) Dan Prelipceanu
- 46) Petru Boisteanu
- 47) Monica Ienciu
- 48) Daniel Vasile
- 49) Gheorghe Oros

Forty-three (43) sites randomized subjects.

<sup>a</sup> Site was shipped drug but did not screen or randomize any subjects. <sup>b</sup> Site did not randomize any subjects.

#### Clinical trial centers

- 851 Olive Street, Shreveport, LA 71104 USA
- AVI Clinical Research, 3250 Lomita Blvd, Suite 107, Torrance, CA 90505 USA
- Deaconess Medical Arts Building, 4255 Pearl Road, Suite 105, Cleveland, OH 44109 USA
- Segal Institute for Clinical Research, 1065 N.E. 125th Street, Suite 417, North Miami, FL 33161 USA 4)
- Precise Clinical Research, 3531 Lakeland Drive, Brentwood Plaza Suite 1060, Flowood, MS 39232 USA Research Strategies, Inc., 180 N. San Gabriel Blvd., Suite 201, Pasadena, CA 91107 USA 6)
- Psychiatric Hospital at Vanderbilt, Frank Luton Center, 1601 23rd Avenue South, Suite 306, Nashville, TN 37212 USA
- Future Search Trials, 4200 Marathon Bldv., Suite 200, Austin, TX 76756 USA
- Atlanta Center for Medical Research, 811 Juniper Street NE, Atlanta, GA 30308 USA
- 10) Indiana University, Larue D. Carter Memorial Hospital, 2601 Cold Spring Road, Indinanapolis, IN 46222 USA
- 11) Belmont Center for Comprehensive Treatment, 4200 Monument Road, Philadelphia, PA 19131 USA
- 12) Millennium Psychiatric Associates, 12303 De Paul Dr., St. Louis, MO 63044 USA
- 13) Research Center for Clinical Studies, 17 Old Kings Highway South, Suite 1-2, Darien, CT 06820 USA
- 14) Keystone Clinical Studies, LLC, 1401 Dekalb Street, Suite 201, Norristown, PA 19401 USA
- 15) Pacific Clinical Research Medical Group, 1317 W. Foothill Boulevard, Suite 200, Upland, CA 91786 USA
- 16) Brentwood Research Institute, 1002 Highland Avenue, Suite 400, Shreveport, LA 71101 USA
- 17) Red Deer Mental Health Clinic, 4733-49 Street, Red Deer, AB T4N 1T6 CANADA

18) Allied Clinical Trials, Inc, 9480 S.W. 77 Avenue, Miami, FL 33156 USA

- 19) American Medical Research, Inc, 1200 Harger Road, Suite 415, Oak Brook, II 60523 USA
- Segal Institute for Clinical Research, Professional Clinical Research, Inc., 1065 N.E. 125th Street, Suite 417, North Miami, FL 33161 USA
- 21) Clinical Innovations, 801 N. Tustin Ave., Suite 600, Santa Ana, CA 92705 USA
- 22) Comprehensive Neuroscience, 1010 N. Glebe Rd., Suite 400, Arlington, VA 22201 USA
- 23) S.V. Medical College, Department of Psychiatry, Tirupati, TAMILNADU 517507 INDIA
- 24) King George Medical University and G. M. & Associated Hospital, Department of Psychiatry, Shahmina Road, Lucknow, UTTAR PRAD 226003 INDIA
- 25) Madras Medical College & Government General Hospital, Department of Psychiatry, E.V.R Periyar Salai, Chennai, TAMILNADU 600003 INDIA
- 26) Hirabai Cowasji Jehangir Medical Research Hospital, Department of Psychiatry, 32 Sassoon Road, Pune, MAHARA 411001 INDIA
- 27) Government Hospital for Mental Care, Department of Psychiatry, China Waltair, Visakhapatnam, ANDH PRAD 530017 INDIA
- 28) Manobal Klinik, Department of Psychiatry, A 2 Rajouri Garden, New Delhi, DELHI 110027 INDIA
- 29) Kasturba Hospital, Department of Psychiatry, P. O. Box No. 7, Manipal, KARNA 576104 INDIA
- Sheth Vadilal Sarabhai Municipal Hospital, Department of Psychiatry, Ellis Bridge, Ahmedabad, GUJARAT 380006 INDIA
- 31) Samara Psychiatric Hospital, 78 Nagornaya str., Samara 443 016 RUSSIA
- 32) City Psychiatric Hospital 14, Psychiatry, 15 Bekhtereva str., Moscow 193019 RUSSIA
- Moscow Region Psychiatric Hospital #5, Psychiatry, Abramtzevskoe shosse, 1a, Hotkovo, Sergiev-Posad district 142601 RUSSIA
- 34) Yaroslavl State Medical Academy, Psychiatry Department, Regional Clinical Psychiatric Hospital, Zagorodniy sad, 6, Yaroslavl 150003 RUSSIA
- 35) City Psychiatric Hospital N12, Psychiatry, 47, Volokolamskoye Shosse, Moscow 123367 RUSSIA
- 36) Bekhterev Psychoneurological Research Institute, Outpatient Psychiatry, 3 Bekhterev Str., St. Petersburg 193019 RUSSIA
- City Psychiatric Hospital #3 of Skvortsov-Stepanov, Department #7, Fermskoye shosse, 36, St. Petersburg 194214 RUSSIA
- 38) City Psichiatric Hospital #1, 41, Ulyanova str, Nizhniy Novgorod 603 155 RUSSIA
- 39) Kazan State Medical University, Psychiatry and narcology, 49, Butlerova str., 80, Volkova str., Kazan 420012 RUSSIA
- 40) 4th Psychiatric Hospital, Psychiatry, 75, Obvodniy Canal embankment, St. Petersburg 191119 RUSSIA
- City Psychiatric Hospital #3 of Skvortsov-Stepanov, Department #7, Fermskoye shosse, 36, St. Petersburg 197341 RUSSIA
- 42) City Psychiatric Hospital #6, Obvodnoy Canal embankment, 13, St. Petersburg 193167 RUSSIA
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# This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.

/s/

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Robert Levin 5/1/2008 07:00:12 AM MEDICAL OFFICER

Gwen Zornberg 5/1/2008 07:16:30 PM MEDICAL OFFICER CMC review was completed 11 APR 2008 recommending AE. Dr. Levin reported to me today verbally that no major toxicities including cases of aplastic anemia evident in clinical data. The data supporting acute efficacy in SZ and BP appear satisfactory.



DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
FOOD AND DRUG ADMINISTRATION
CENTER FOR DRUG EVALUATION AND RESEARCH
DIVISION OF CARDIOVASCULAR AND RENAL PRODUCTS

Date: April 23, 2008

From: Suchitra Balakrishnan, M.D., Ph.D.

Through: Norman Stockbridge, M.D., Ph.D.

**Division Director** 

Division of Cardiovascular and Renal Products /CDER

To: Keith Kiedrow

Regulatory Project Manager Division of Psychiatry Products

Subject: QT-IRT Consult to NDA 22,117

This memo responds to queries from DPP regarding arrhythmia related issues associated with Asenapine (specifically cases of sinus pause seen with healthy volunteers). The QT-IRT received and reviewed the following materials:

- The Summary of Clinical Safety provided on 8/30/07
- Electronic datasets for the PR and QRS intervals provided by the Sponsor with Study Report A7501001
- QT- IRT review for Study INT 00036960

#### Background

The QT- IRT recently reviewed Study INT 00036960. In this randomized, placebo-controlled, double-blind, multicenter, parallel-group trial, subjects with schizophrenia or schizoaffective disorder received asenapine 5/10 mg b.i.d., asenapine 15/20 mg b.i.d., placebo, or quetiapine 375 mg b.i.d. for 16 days. Asenapine failed to exclude a 10-ms increase in the QT interval at both doses. With 35 subjects per arm, a dose-response relationship was not observed for asenapine. The review division has requested review of additional information with respect to proarrhythmic potential of asenapine, specifically cases of sinus pauses seen in the healthy volunteer studies

#### 1 Previous Clinical Experience

There are 63 trials in the asenapine schizophrenia and bipolar mania clinical development programs that were conducted with the sublingual formulation of asenapine as of the database cut-off of 15 January 2007. The safety information from the completed Phase 2/3 trials was

analyzed in five cohorts.

Table 11 Number of Subjects Treated in the Asenapine Schizophrenia and Bipolar Mania Clinical Programs (continued)

Subject Group	Total Number of Subjects	Number of Subjects 5 or 10 mg BID
Combined Phase 2/3 for Bipolar Mania and Schizophrenia		
asenapine	2251	1953
placebo	706	
comparator	1134	
Ongoing Phase 2/3 Clinical Trials		
asenapine	~ 1045	~1045
placebo	~611	
comparator	~405	

#### 1.1 Deaths:

As of the January 15, 2007 database cutoff date, there were 11 deaths in all asenapine groups, 1 death in the placebo group, and 3 deaths in the olanzapine group. One patient is reported to have died due to cardiac failure in ongoing trials.

Reviewers Comment: There are no deaths in the Clinical Summary reported as sudden cardiac death or due to significant ventricular arrhythmia. One patient died due to aspiration during a seizure 3 months after discontinuing study drug.

# 1.2 Arrhythmias

In cohort E (combined Phase 2/3 for Bipolar Mania and Schizophrenia), the incidence of tachycardia (17), sinus tachycardia (5) sinus bradycardia (13), ventricular extrasystoles (2) were higher than in the placebo group but comparable to olanzapine.

There was 1 case of atrial fibrillation in the placebo group. There were 2 cases of "cardiac flutter" and 1 case of WPW syndrome with asenapine. The proportion of patients who experienced heart blocks was similar in the asenapine (BBB-1, LBBB-2, and RBBB-3) and olanzapine groups.

#### Reviewers Comment

The most common arrhythmias seen in all studies were tachycardia and bradycardia and occurred in the subjects dosed between 5-10 mg b.i.d. Narratives for the patients with cardiac flutter and WPW syndrome were not available for review. However, the number of cases of atrial fibrillation/flutter was similar in active and placebo groups in all cohorts.

# 1.3 Sinus arrests

In cohort F (Clinical pharmacology studies in healthy volunteers) there were 9 episodes of sinus arrest reported in the subjects who received asenapine < 5mg and 4 reports of nodal rhythm. The sponsor attributes these events to neurally mediated reflex bradycardia (NMRB). The sponsor provided the following report in the ISS.

"Neurally Mediated Reflex Bradycardia (NMRB) is a benign, self-limiting event, and the most common cause of vasovagal syncope. It involves central hypovolemia,

vasodepression, and a degree of bradycardia; the bradycardia may be accompanied by periods of asystole that are due to either sinus pause or heart block. NMRB can occur with or without sinus pause and is typically associated with postural challenge. Healthy, young volunteers with a high resting vagal tone display a higher incidence of NMRB than do psychiatric patients.

"Cardiovascular studies in anesthetized cats, anesthetized dogs, and conscious rabbits indicate that the main hemodynamic effects of intravenous asenapine are a decrease in arterial blood pressure, probably as a result of  $\alpha 1$ -adrenergic blocking activity, and orthostatic hypotension. The results of these in vivo studies also show that asenapine displays marked anti-histaminergic properties while no effects on cholinergic or  $\beta$ -adrenergic systems are observed.

"There were no cases of NMRB reported in subjects with schizophrenia or bipolar disease. Four healthy volunteers receiving asenapine and one volunteer receiving placebo had reports of NMRB with sinus pause. These cases are briefly described.

- •A 27 year old Caucasian male, and a former competing pentathlon athlete (resting supine heart rate of 52 bpm and blood pressure of 104/60 mm/Hg, standing heart rate of 70 bpm with a blood pressure of 112/82 mm/Hg), received 0.7 mg asenapine intravenously over 30 minutes in study 25506. Forty-five minutes after the start of the infusion, the subject sat up in bed for a blood pressure measurement and complained of dizziness and feeling unwell. He fell back in the bed and the ECG monitor showed asystole of > 8 seconds (recording stopped after 8 seconds). The bed was tilted head down at only a slight angle that allowed the investigator to intervene with brief chest compressions. During this intervention, the subject experienced 3 consecutive episodes of sinus pause of > 8seconds duration each (recording stopped after 8 seconds). Severe bradycardia with intermittent nodal complexes and AV dissociation persisted until the investigator administered two intravenous injections of 0.6 mg atropine. Normal sinus rhythm was then restored and further recovery was uneventful. The peak asenapine plasma level in this volunteer was 1850 pg/ml. The investigator and a consulting cardiologist concluded this event was causally related to drug administration.
- •A 22 year old Caucasian male, endurance athlete (resting heart of 58 bpm), received a 30-mg oral dose of asenapine in study 25501. Approximately 2.5 hours after the dose and 5 minutes after breakfast, the subject sat up in bed and felt dizzy and nauseated. The ECG telemetry strip showed heart rate slowing and an 8.7-second pause. This was followed by heart block with nodal bradycardia, which spontaneously converted to sinus rhythm. He had another episode 2 hours later. Both episodes resolved spontaneously without intervention while the subject remained in the supine position.
- •A 33 year old Caucasian male received sublingual asenapine 0.15 mg in study 25511. Approximately 2.5 hours after the dose, he experienced NMRB with syncope 7 minutes after standing which resolved spontaneously without intervention when the subject was in the supine position. The subject's heart rate slowed from 100 bpm to 43 bpm within 19 seconds followed by syncope with an

associated 6.2-second sinus pause.

- •A 24 year old Caucasian male received sublingual placebo in study 25511 at baseline and experienced dizziness followed by a 6.4-second sinus pause after 4 minutes of standing. The subject's heart rate slowed from 110 bpm to 40 bpm. The event resolved spontaneously without intervention. The subject continued in the study to received asenapine without any subsequent problem.
- •A 52 year old Caucasian male in study 041033 received asenapine 5 mg and fluvoxamine 25 mg BID after having received fluvoxamine for the past 4 days. One hour after his combined dose, he developed sinus pauses. The sinus pauses occurred during 10 minutes while the volunteer was sleeping and lasted for 3 to 12 seconds. The subject recovered the same day and continued in the trial. This event was considered to be due to NMRB.

"In summary, NMRB occurred in four healthy volunteers receiving asenapine and one healthy volunteer receiving placebo. In the asenapine clinical program, NMRB with sinus pause was observed mainly in young and athletic healthy volunteers with high vagal tone and occurred after a postural change following asenapine or placebo. This was not seen in psychiatric patients."

Reviewers Comment: Since these events occurred only in healthy volunteers, the explanation provided by the sponsor appears reasonable.

In conscious dogs, orally administered asenapine induced dose-dependent negative inotropic and positive chronotropic effects accompanied by ECG changes (QTc interval prolongation), orthostatic hypotension on tilt with marked tachycardia. The results of a study in isolated canine Purkinje fibers indicate that asenapine induced mainly decreases in action potential duration, in particular on APD50. These effects were associated with a decrease in the plateau of the action potential reflecting mainly block of calcium channel current. Decreases in action potential duration were dose-dependent and were more pronounced under low stimulation rate (0.33Hz) than under normal stimulation rates (1Hz). N-desmethylasenapine induced comparable effects (decreased action potential duration, particularly APD50, but at approximately 10 times higher concentrations. It is possible that the sinus pauses observed in healthy volunteers could be due to negative inotropic effects of the drug secondary to inhibition of sodium or calcium current. However, NMRB secondary to α-receptor blockade appears to be a more plausible explanation.

# 1.4 Effects on PR and QRS intervals-Study INT 00036960

The QT-IRT also analyzed the PR and QRS datasets provided by the sponsor for Study INT 00036960. The change from baseline compared to placebo ( $\Delta$ PR and  $\Delta$ QRS) and corrected for placebo ( $\Delta$ APR and  $\Delta$ AQRS with 2 sided 90% CI) was computed. Compared to placebo both drugs (asenapine and quetiapine) exerted similar effects on the PR and QRS intervals. Slight shortening of both intervals was observed (maximum change ~-9 ms and -3 ms respectively). There is no clinical significance to these findings.

# 1.5 Other Cardiac AEs

As enapine may induce orthostatic hypotension associated with dizziness (postural), tachycardia and, in some patients syncope, especially early in treatment, probably reflecting its  $\alpha 1$ -adrenergic antagonistic properties. It appears that healthy volunteers are more susceptible to the blood

pressure lowering effect of asenapine. In Phase 2/3 studies, the incidence of orthostatic related adverse events was similar in the asenapine group compared to the other comparators. The incidence of syncope was low, 0.5% in the asenapine 5-10 mg BID dose group, which was comparable to the olanzapine group (0.4%) and slightly greater than placebo (0.1%).

#### QT-IRT COMMENTS:

It appears that the arrhythmia related AEs associated with asenapine are similar to those of olanzapine and consistent with class effects based on our review of the summary of clinical safety, non-clinical summary and additional analysis of ECG intervals in Study INT 0036960

Thank you for requesting our input into the development of this product under NDA 22117. We welcome more discussion with you now and in the future. Please feel free to contact us via email at <a href="mailto:cderdcrpqt@fda.hhs.gov">cderdcrpqt@fda.hhs.gov</a>

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/s/

Suchitra Balakrishnan 4/23/2008 02:00:08 PM MEDICAL OFFICER

Norman Stockbridge 4/23/2008 06:06:06 PM MEDICAL OFFICER

# Interdisciplinary Review Team for QT Studies Consultation: Thorough QT Study Review

Thorough Q1 Study He vie v					
NDA	22117				
Brand Name	Sycrest <sup>®</sup>				
Generic Name	Asenapine (ORG 5222)				
Sponsor	Organon USA Inc.				
Indication	Treatment of schizophrenia and acute manic or mixed episodes associated with Bipolar I disorder				
Dosage Form	Fast dissolving sublingual tablets				
Drug Class	Psychotropic agent				
Therapeutic Dose	5 to 10 mg b.i.d.				
<b>Duration of Therapeutic Use</b>	Chronic				
<b>Maximum Tolerated Dose</b>	20 mg b.i.d.				
<b>Application Submission Date</b>	30 August 2007				
<b>Review Classification</b>	Standard NDA				
<b>Date Consult Received</b>	3 Oct 2007				
Clinical Division	DPP / HFD 130				
PDUFA Date	30 June 2008				

#### 1 SUMMARY

#### 1.1 OVERALL SUMMARY OF FINDINGS

This is a positive study by the ICH E14 guideline: the upper 95% confidence interval exceeded 10 ms for all doses.

In this randomized, placebo-controlled, double-blind, multicenter, parallel-group trial, subjects with schizophrenia or schizoaffective disorder received asenapine 5/10 mg b.i.d., asenapine 15/20 mg b.i.d., placebo, or quetiapine 375 mg b.i.d. for 16 days. A doseresponse relationship was not observed for asenapine as shown in the following table. We note that with the small sample size (less than 35 subjects pre arm), the study was not powered to detect a dose-response relationship using the primary endpoint.

FDA Analysis: The Point Estimates and 90% CI Corresponding to the Largest Upper Bounds for Asenapine by Dose Group

Treatment	Time, h	Mean ΔΔQTcF, ms	90% CI, ms
Asenapine 5 mg b.i.d., N=30	3	5.0	-1.5, 11.4
Asenapine 10 mg b.i.d., N=27	2	10.5	4.5, 16.5
Asenapine 15 mg b.i.d., N=33	3	8.7	3.0, 14.4
Asenapine 20 mg b.i.d., N=29	4	4.9	-1.9, 11.6

Cross reference: reviewer's analysis in Table 10

An exposure-response analysis conducted by both the sponsor and FDA reviewers showed that asenapine prolonged the QTcF interval in a concentration-dependent manner (described in section 5.2.1.2). The model predicted mean  $\Delta\Delta$ QTcF at a mean  $C_{max}$  of 10.6 ng/mL, which corresponds to an asenapine dose of 20 mg b.i.d., is 6 ms (8 ms, 90% upper confidence limit). Asenapine 20 mg b.i.d., the maximum tolerated dose in patients with schizophrenia, provides a 2-fold increase in exposure over the highest clinical dose (10 mg b.i.d.) and adequately covers the plasma concentrations observed in phase 2b/3 clinical studies (Figure 1). We note, however, that subjects with severe hepatic impairment have 7-fold increase unbound AUC. The magnitude of QT prolongation in these subjects is not known.

Because asenapine belongs to a pharmacological class of compounds associated with QT/QTc prolongation, the sponsor used quetiapine 375 mg b.i.d. as the positive control. The magnitude of quetiapine effects on the QTc interval is not well characterized. In this study, the difference from placebo in LS mean time-matched QTcF change from baseline at  $T_{max}$  was 7 ms (90% CI: 1, 13) on Day 10 and 10 (90% CI: 3, 17) ms on Day 16. The exposure-response relationship for quetiapine was similar to the observed relationship in Study R076477-SCH-1014 in NDA 21,999 (Table 13). Therefore, assay sensitivity with quetiapine could be established.

#### 2 PROPOSED LABEL

The following is our recommendations for labeling. We defer all final labeling decisions to the review division.

#### 5.9 QT Prolongation

The effects of Sycrest® on the QT interval were evaluated in a dedicated QT study [see CLINICAL STUDIES (14.3)]. Sycrest® causes a mild (<5 ms)-increase in the corrected QT (QTc) interval but the magnitude of the effect is such that it is not expected to be clinically relevant. Electrocardiogram (ECG) measurements were taken at various time points during the Sycrest® clinical trial program testing therapeutic doses (5-10 mg b.i.d.) and any post-baseline QT prolongations exceeding 500 ms were reported in comparable rates to placebo in the short-term trials.

Sycrest® should be used cautiously in combination with drugs that are known to prolong the QTc interval including Class 1A (e.g., quinidine, procainamide) or Class 3 (e.g., amiodarone, sotalol) antiarrhythmic medications, antipsychotic medications (e.g., chlorpromazine, thioridazine), antibiotics (e.g., gatifloxacin, moxifloxacin), or any other

class of medications known to prolong the QTc interval. Sycrest® should also be used cautiously in patients with congenital long QT syndrome and in patients with a history of cardiac arrhythmias.

# 14.3 Thorough QT/QTc Trial

A trial assessing the potential QT/QTc prolonging effect of Sycrest® 5 mg, 10 mg, 15 mg, and 20 mg b.i.d. and placebo was conducted in 151 clinically stable patients with schizophrenia. Electrocardiographic assessments were performed throughout the dosing interval both at baseline and steady state. The mean increase in QTc from baseline at C<sub>max</sub>, as derived from exposure response analysis, was 1.9 ms, 3.0 ms, 3.7 ms, and 4.9 ms for Sycrest® 5 mg, 10 mg, 15 mg, and 20 mg b.i.d., respectively; and 7.5 ms for quetiapine 375 mg b.i.d.. There was a concentration-dependent increase in QTc interval. Categorical analyses for this study revealed that No patients treated with Sycrest® experienced QTc increases >60 ms from baseline measurements, nor did any patient experience a QTc of >500 ms. Additionally, there were no reports of Torsade de Pointes or any other adverse events associated with delayed ventricular repolarization.

#### 3 BACKGROUND

Asenapine (also referred to as Org 5222) is a psychotropic (psychopharmacologic) agent with a unique receptor binding profile that is available for sublingual administration. Asenapine's pharmacological profile displays potent multi-receptor antagonism for a combination of serotonin, dopamine, noradrenaline, and histamine receptors and no appreciable activity at muscarinic cholinergic receptors. The sponsor believes the compound may be effective in the treatment of various symptom domains in schizophrenia and/or mood disorders, and that it may have low propensity for the induction of extrapyramidal symptoms (EPS).

## 3.1 MARKET APPROVAL STATUS

Asenapine is not approved for marketing in the USA or elsewhere.

# 3.2 Preclinical Information

Source: nonclinical summary

ORG 5222, tested at 0.1, 0.3, and 1  $\mu$ M concentrations using HEK-293 cells transfected with HERG produced statistically significant and concentration-dependent decreases in hERG current amplitude (30.9  $\pm$  4.3%, 51.2  $\pm$  5.7%, and 69.8  $\pm$  5.8%, respectively) when compared to vehicle control. The IC50 for ORG 5222, the concentration computed from the concentration-response relationship at which 50% of total current was suppressed, was 0.3  $\mu$ M.

The results of a study in isolated canine Purkinje fibers indicate that asenapine induced mainly decreases in action potential duration, in particular on  $APD_{50}$ . These effects were associated with a decrease in the plateau of action potential involving mainly calcium channel current. Decreases in action potential duration were dose-dependent and were more pronounced under low stimulation rate (0.33Hz) than under normal stimulation rates (1Hz). N-desmethylasenapine induced comparable effects (decreased action potential duration, particularly  $APD_{50}$ ) but at approximately 10 times higher concentrations.

Oral ORG 5222 (1-50 mg/kg) administered to conscious dogs induced dose-dependent negative inotropic and positive chronotropic effects, accompanied by shortening of the PR interval, less marked hypotensive effects and dose-dependently prolonged QTc. The QRS interval was shortened but only at the higher dose. Moderate orthostatic hypotension was observed on tilt which was accompanied by marked and dose-dependent tachycardia. Behavioral excitation was observed at dose levels from 2.5 mg/kg onwards. Sublingual administration of ORG 5222 (0.01-1 mg/kg) induced dose-dependent tachycardia in the absence of negative inotropy and hypotension. QTc was only markedly prolonged by the highest dose used which also lengthened QRS. A similar moderate orthostatic hypotension was seen upon tilt but the accompanying tachycardia was considerably less than after oral administration. Sublingually given Org 5222 caused minor and transient behavioral excitation at the highest dose only, but induced long lasting tranquilization especially at the mid and high doses.

Reviewer's Comment: Non clinical data are suggestive of dose-and concentration-dependent QT prolongation.

# 3.3 Previous Clinical Experience

Source: Clinical Summary

There are 63 trials in the asenapine schizophrenia and bipolar mania clinical development programs that were conducted with the sublingual formulation of asenapine as of the database cut-off of 15 January 2007. The safety information from the completed Phase 2/3 trials was analyzed in five cohorts. As of the January 15, 2007 database cutoff date, there were 11 deaths in the all asenapine group, 1 death in the placebo group, and 3 deaths in the olanzapine group.

One subject in the long-term schizophrenia trial (study 25517) died from aspiration during a *seizure*. The subject, a 33 year old Caucasian female had received asenapine 5-10 mg for one month during the study and was discontinued due to a *seizure*. Three months later, she had another seizure that resulted in death. This death is not included in the tables and listings because it occurred more than 30 days after the last dose.

The most common adverse event leading to death was suicide (6 asenapine 5-10 mg b.i.d. [0.3%], 2 olanzapine [0.2%]). In addition, there were 2 drug overdoses that led to death, 1 in the asenapine 5-10 mg b.i.d. group (accidental overdose) and 1 in the olanzapine group (overdose) neither of the overdose cases was due to asenapine overdose. One subject died of cardiac failure in an ongoing trial

The most common cardiac AEs were bradycardia (3.6%) and tachycardia (2.8%)

A 27 year old male Caucasian healthy volunteer (study 25506), collapsed 15 minutes after the end of a 30 minute intravenous infusion of asenapine (0.7 mg). Just prior to collapse, the subject reported feeling dizzy and unwell and then fell back on the bed. The event was reported as *asystole*; however, this event was considered to be due to neurally mediated reflex bradycardia. The subject recovered.

A 22 year old Caucasian male (resting heart of 58 bpm), received a 30 mg oral dose of asenapine in study 25501. Approximately 2.5 hours after the dose, the subject sat up in bed and felt dizzy and nauseated. The ECG telemetry strip showed heart rate slowing and an 8.7 second pause. This was followed by heart block with nodal bradycardia, which spontaneously converted to sinus rhythm. He had another episode 2 hours later. Both episodes resolved spontaneously without intervention while the subject remained in the supine position.

Vomiting, *syncope*, hypotension were experienced by a 23 year old female (study 25504), following asenapine (4 mg dose) on Day 13, which led to discontinuation from the study (considered related to study drug). Subject recovered the same day.

Grand mal *convulsion* occurred in a 59 year old male (study 25505), following asenapine (2 mg dose) on Day 6, which led to discontinuation from the study. Subject recovered the same day. According to the investigator, the grand mal convulsion was due to hyponatraemia (sodium: 114 mmol/L) secondary to polydipsia and was not related to study drug (see Section 2.7.4.2.1.5.7 on hyponatraemia).

In the long-term schizophrenia study 25517, ECGs were performed at Screening, Weeks 3, 6, 24, and endpoint, and the tracings were read by a central laboratory. Analyses included interval changes from baseline (descriptive statistics), categorical changes, outlier analysis, and post-baseline markedly abnormal changes in morphology. The most frequently reported ECG related AE in the asenapine group (1.2%) was Electrocardiogram QT corrected interval prolonged (0.6% in the olanzapine treatment group).

Reviewers Comment: QT prolongation was also noted in clinical studies. Seizures can be expected in this population due to lowering of seizure threshold due to drug, polydipsia/substance abuse. However, syncope/asystole and an 8.7 sinus pause were noted in young healthy subjects.

#### 3.4 CLINICAL PHARMACOLOGY

Appendix 7.1 summarizes the key features of asenapine's clinical pharmacology.

#### 4 SPONSOR'S SUBMISSION

#### 4.1 OVERVIEW

The QT-IRT reviewed the following:

- Clinical study report for Study A750-1001 and associated electronic data sets
- Report for Study INT00036960 and associated electronic data sets
- Digital ECGs in the ECG Warehouse for Study A750-1001

## 4.2 TOT STUDY

# 4.2.1 Protocol Number and Title

Protocol A7501001: A Double-Blind, Parallel, Multicenter Study to Assess the Effect of Asenapine, Quetiapine (Seroquel®), and Placebo on the QTc Interval in Patients With Schizophrenia

# 4.2.2 Study Dates

Clinical Trial Start: 29 June 2004

Clinical Trial Completion: 20 December 2004

# 4.2.3 Objectives

The objectives of this trial were to estimate the effect of asenapine, compared with placebo, on the QTc interval; to estimate the differences between asenapine and

quetiapine on the QTc interval; and to characterize the pharmacodynamic response of asenapine with respect to dose and plasma concentration.

# 4.2.4 Study Description

# 4.2.4.1 **Design**

This was a randomized, placebo-controlled, double-blind, multicenter, parallel-group trial with 2 treatment periods.

Following screening and medication tapering if needed, each subject was evaluated for a minimum of 24 days, consisting of a 5-day single-blind placebo run-in phase, a 16-day treatment phase that included 2 treatment periods, and a post-treatment restabilization period.

#### **4.2.4.2** Controls

The Sponsor used both placebo and active (quetiapine) controls.

# **4.2.4.3** Blinding

Study drug was administered in a double-blind, double-dummy fashion during periods 1 and 2.

# 4.2.5 Treatment Regimen

#### 4.2.5.1 Treatment Arms

Subjects were assigned to 1 of 4 treatment groups as shown in Table 1.

Group Period 1: Period 2: Drug Target Dose (w/Titration) Target Dose (w/Titration) 1 Asenapine 5 mg BID × 10 days 10 mg BID × 6 days 2 Asenapine 15 mg BID × 10 days 20 mg BID × 6 days 3 Quetiapine  $375 \text{ mg BID} \times 10 \text{ days}$  $375 \text{ mg BID} \times 6 \text{ days}$ 4 Placebo  $BID \times 10 days$  $BID \times 6 days$ 

**Table 1: Treatment Groups** 

Sponsor's Table 2, page 26 of CSR for A750-1001

**Table 2: Dose Schedule Showing Titration** 

Day	Placebo	Aser	napine	Quetiapine
		5/10 BID	15/20 BID	
1	Placebo BID	5 mg BID	5 mg BID	25 mg BID
2	Placebo BID	5 mg BID	10 mg BID	50 mg BID
3	Placebo BID	5 mg BID	15 mg BID	100 mg BID
4	Placebo BID	5 mg BID	15 mg BID	150 mg BID
5	Placebo BID	5 mg BID	15 mg BID	200 mg BID
6	Placebo BID	5 mg BID	15 mg BID	250 mg BID
7	Placebo BID	5 mg BID	15 mg BID	300 mg BID
8	Placebo BID	5 mg BID	15 mg BID	375 mg BID
9	Placebo BID	5 mg BID	15 mg BID	375 mg BID
10	Placebo BID	5 mg BID	15 mg BID	375 mg BID
11	Placebo BID	10 mg BID	20 mg BID	375 mg BID
12	Placebo BID	10 mg BID	20 mg BID	375 mg BID
13	Placebo BID	10 mg BID	20 mg BID	375 mg BID
14	Placebo BID	10 mg BID	20 mg BID	375 mg BID
15	Placebo BID	10 mg BID	20 mg BID	375 mg BID
16	Placebo BID	10 mg BID	20 mg BID	375 mg BID

Sponsor's Table 5, page 34 of CSR for A750-1001

# **4.2.5.2** Sponsor's Justification for Doses

The asenapine dose range in the present trial included the lowest effective dose (5 mg b.i.d.) and the maximally tolerated dose (20 mg b.i.d.). This was to allow determination of the dose-response and the construction of a pharmacokinetic/pharmacodynamic model of the QTc effect.

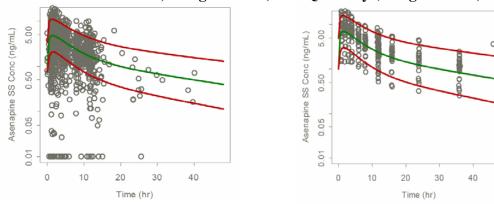
Quetiapine was included to assure assay sensitivity and to make direct comparisons with asenapine. The mean change from baseline in QTc for quetiapine without metabolic inhibition was 4.8 and 5.7 ms for Fridericia's and the population-based correction, respectively. The dose of 750 mg per day approximates the maximally recommended dose, and was the same as in the trial described above.

#### Reviewer's Comments:

- Asenapine dose selection for the QT study was reasonable. The exposures achieved with 20mg b.i.d. asenapine reasonably cover the exposures after 10 mg b.i.d. in the phase IIb/III trial in schizophrenia indication (Figure 1).
- From a dose perspective, administration of quetiapine 375 mg b.i.d. is acceptable as an active control. According to the label, efficacy in schizophrenia was demonstrated in a dose range of 150 to 750 mg/day however, QTc prolongation is not well characterized.
- Although quetiapine dose (375mg b.i.d.) was slightly lower than the dose used in another QT study (400 mg b.i.d., NDA 21,999), the exposures achieved are fairly similar.

 In subjects with severe hepatic impairment, a 7-fold increase in exposure was observed. The effect on the QT interval with this increase in exposure is not known.

Figure 1: Asenapine concentrations from phase IIb/III study (Schizophrenia indication; 10mg b.i.d. SS) and QT study (20mg b.i.d. SS)



Source: Sponsor's population PK report (population-pk-phase-2-3-asenapine.pdf) Figures 1 and 3)

# 4.2.5.3 Instructions with Regard to Meals

Subjects were to have had their meals before dosing and to be finished eating at least 15 minutes before each dose; they were allowed to drink water up to 5 minutes prior to the dose. The timing of meals and medication administration was to be consistent throughout the trial for each subject.

#### 4.2.5.4 ECG and PK Assessments

Serial ECG recordings (triplicates) and corresponding pharmacokinetic (PK) samples were obtained on Days 1, 10, and 16: prior to and 1, 2, 3, 4, 6, 8, and 12 hours following the morning dose of study medication. The ECGs were recorded immediately before the blood draws and the 12-hour postdose ECGs were performed prior to the evening dose of study medication. On Day 16, additional PK samples were obtained at 16, 24, 36, and 48 hours following the morning dose.

#### **4.2.5.5** Baseline

The baselines were defined as the ECGs recorded on the last day of the 5-day single blind placebo run-in phase. Time-matched baselines were used in the primary analysis.

# 4.2.6 ECG Collection

Digital ECGs (GE Medical MAC 1200 with onscreen display) were performed in triplicate (other than at Screening and Closeout) at the time points specified during the placebo run-in and treatment phases. Subjects were to be supine for at least 10 minutes prior to the 12-lead ECG assessments and a 2-minute period was required between recordings. Study site personnel were instructed to minimize subject stress and anxiety throughout the trial, particularly during the ECG recordings and to minimize environmental sympathetic and autonomic intervention during the ECG recordings.

Electronic data files were sent to a central lab for manual interpretation.

Measured ECGs were interpreted and intervals verified and re-measured onscreen by a cardiologist. All ECGs for a particular subject were overread by the same cardiologist.

All interval measurements were made from a single lead: lead II, or lead I if lead II was not possible, or lead V4 if lead I and lead II were not possible. A complete interpretation was performed. Interval measurements were performed in a digital environment using electronic calipers. Each interval was measured as a single measurement of an averaged complex from the chosen lead, utilizing a validated median template methodology, with a sample of at least 3-5 original complexes.

Machine-interpreted data (PR, QRS, QT, QTc, ventricular rate (VR)) from screening and closeout ECGs was recorded on the 12-lead ECG CRFs.

Reviewers comment: It is unclear if the ECG readers were blinded to time and treatment identifiers.

# 4.2.7 Sponsor's Results

# 4.2.7.1 Study Subjects

This trial was designed to evaluate 120 subjects with schizophrenia on Day 10: 30 subjects in each of 4 treatment groups. A total of 151 subjects were enrolled, of whom 148 (114 men, 34 women) took at least 1 dose of study drug (the safety analysis set). Inclusion criteria included normal baseline ECG, age between 18-65 yrs of age and BMI between 17-36 kg/m<sup>2</sup>.

The safety analysis set yielded 125 subjects who completed at least 10 days of treatment-the treatment groups at Day 10 ranged in size from 30 to 33 subjects. Thirty-four subjects, 23% of the safety analysis set, discontinued double-blind treatment. The most frequent reason for subject discontinuation was withdrawal of consent (23 subjects, 16%). Eight subjects (5%) withdrew due to adverse events; 7 of these withdrawals were prior to Day 10 and included a withdrawal due to a serious adverse event that began as a PTSS. Subjects in the active treatment groups withdrew consent more often than subjects in the placebo group.

# 4.2.7.2 Statistical Analyses

# 4.2.7.2.1 Primary Analysis

The primary endpoint was time-matched change from baseline in QTcF on Day 10 and Day 16 after dosing. Time-matched QTcF was calculated for each subject by subtracting the QTcF at each nominal time on the baseline day from the QTcF at the same nominal time on Day 10 and Day 16. The Sponsor used a repeated measurement Analysis of Variance (ANOVA) to compare asenapine and quetiapine with placebo and used a one-way ANOVA to compare asenapine with quetiapine. The repeated measurement ANOVA for the asenapine with placebo comparisons consisted of treatment, subject within treatment, time, and time by treatment effects.

For all dose combinations of asenapine (5/10 mg b.i.d., 15/20 mg b.i.d.), the largest upper limits of the two-sided 90% Confidence Interval for asenapine vs. placebo differences

after baseline adjustments were above the 10 ms threshold. Analysis of the primary endpoint demonstrated that asenapine had a positive effect on the QTc interval in this trial.

Table 3: Difference in Least Square Means of Asenapine from Placebo of Time

Matched Change from Baseline in OTcF (Manually Read)

<b>Treatment Comparison</b>	Time Post-	N	Difference	90%	90%
	Dose (hour)			Lower	Upper
Day 10					
Asenapine 5 mg b.i.d. vs Placebo	1	30	0.9	-5.0	6.9
	2	30	2.6	-3.3	8.6
	3	30	5.0	-1.0	10.9
	4	30	5.8	-0.2	11.7
	6	30	4.1	-1.9	10.0
	8	29	5.9	-0.1	11.9
	12	29	0.9	-5.1	6.8
Asenapine 15 mg b.i.d. vs Placebo	1	33	5.6	-0.2	11.4
	2	33	6.4	0.6	12.3
	3	33	8.7	2.9	14.5
	4	33	8.0	2.2	13.8
	6	33	5.1	-0.8	10.9
	8	33	6.1	0.3	12.0
	12	32	1.0	-4.8	6.9
Day 16					
Asenapine 10 mg b.i.d. vs Placebo	1	27	3.4	-3.1	10.0
	2	27	10.5	3.9	17.1
	3	27	-0.4	-6.9	6.2
	4	27	9.3	2.7	15.9
	6	26	6.2	-0.4	12.8
	8	26	5.2	-1.4	11.9
	12	26	0.4	-6.2	7.1
Asenapine 20 mg b.i.d. vs Placebo	1	29	2.6	-3.8	9.1
	2	29	5.2	-1.2	11.7
	3	29	-1.1	-7.5	5.4
	4	28	5.1	-1.4	11.6
	6	29	-1.3	-7.8	5.1
	8	29	-1.8	-8.2	4.7
	12	29	-1.4	-7.9	5.0

Sponsor's Section 11.1.2.01.01.01, pages236-239 of CSR for A750-1001

Reviewer's Comment: The sponsor used quetiapine as a positive control for the QT study. The following table presented the difference in least square means of quetiapine from placebo of time matched changed from baseline in QTcF.

Table 4: Difference in Least Square Means of Quetiapine from Placebo of Time Matched Change from Baseline in OTcF (Manually Read)

Treatment Comparison	Time Post-	N	Difference	90%	90%
	Dose (hour)			Lower	Upper
Day 10					
Quetiapine 375 mg b.i.d. vs Placebo	1	30	2.5	-3.5	8.4
	2	30	6.7	0.8	12.7
	3	30	7.5	1.5	13.4
	4	30	7.9	1.9	13.8
	6	30	2.7	-3.2	8.7
	8	30	10.9	4.9	16.8
	12	30	3.1	-2.8	9.0
Day 16					
Quetiapine 375 mg b.i.d. vs Placebo	1	27	4.1	-2.5	10.7
	2	27	9.9	3.3	16.5
	3	27	6.9	0.4	13.5
	4	27	6.8	0.3	13.4
	6	27	3.1	-3.4	9.7
	8	27	4.9	-1.7	11.5
	12	27	-0.6	-7.2	6.0

Sponsor's Section 11.1.2.01.01.01, pages236-239 of CSR for A750-1001

# 4.2.7.2.2 Categorical Analysis

A summary of the number of absolute QTcF outliers by day and time is presented in Table 5.

Table 5: Categorization of QTcF Data by Gender and Treatment Group

,		Number (Percent) of			ubjects by	/ Ma	aximum Pos	st-dose QTo	F (msec)	
			Male	es				Fema	iles	
		<430	430-<450	450-<500	≥500		<450	450-<470	470-<500	≥500
Treatment	N	n(%)	n(%)	n(%)	n(%)	N	n(%)	n(%)	n(%)	n(%)
Baseline										
Placebo	28	27 ( 96.4)	1 ( 3.6)	0 ( 0.0)	0 ( 0.0)	7	7 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Asenapine 5 mg	33	33 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)	5	5 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Asenapine 15 mg	26	26 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)	12	12 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Quetiapine 375 mg	27	27 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)	10	10 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Day 1 <sup>a</sup> through Day 1	0									
Placebo	28	27 ( 96.4)	0 ( 0.0)	1 ( 3.6)	0 ( 0.0)	7	6 (85.7)	0 ( 0.0)	1 ( 14.3)	0 ( 0.0)
Asenapine 5 mg	33	29 ( 87.9)	4 ( 12.1)	0 ( 0.0)	0 ( 0.0)	5	5 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Asenapine 15 mg	26	24 ( 92.3)	1 ( 3.8)	1 ( 3.8)	0 ( 0.0)	12	9 ( 75.0)	2 ( 16.7)	1 ( 8.3)	0 ( 0.0)
Quetiapine 375 mg	27	26 ( 96.3)	1 ( 3.7)	0 ( 0.0)	0 ( 0.0)	10	9 ( 90.0)	1 ( 10.0)	0 ( 0.0)	0 ( 0.0)
Day 11 through Day 1	6									
Placebo	27	26 ( 96.3)	1 ( 3.7)	0 ( 0.0)	0 ( 0.0)	5	5 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Asenapine 10 mg	24	21 ( 87.5)	3 ( 12.5)	0 ( 0.0)	0 ( 0.0)	4	4 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)
Asenapine 20 mg	20	20 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)	10	8 ( 80.0)	1 ( 10.0)	1 ( 10.0)	0 ( 0.0)
Quetiapine 375 mg	22	22 (100.0)	0 ( 0.0)	0 ( 0.0)	0 ( 0.0)	7	6 (85.7)	1 ( 14.3)	0 ( 0.0)	0 ( 0.0)

Source: 11.1.2.01.01.05 a Post dose

Sponsor's Table 36, page 93 of CSR for A750-1001

For the 5 subjects who had QTcF values ≥450 ms (for men) or ≥470 ms (for women), increases from baseline in time matched QTcF ranged from 14 ms to 61 ms. According to the Sponsor, two of these subjects experienced adverse events from the cardiac-disorders system organ class during the trial: Subject 10010016 (hypertension) and Subject 10050009 (increased blood pressure).

During Period 1 (Days 1 through 10), the number of subjects who experienced increases in QTcF  $\geq$ 30 ms ranged from 7 of 38 subjects (18.4%) in the placebo group to 15 of 37 subjects (40.5%) in the quetiapine group. Three subjects had QTcF increases  $\geq$ 60 ms. Similarly, the number of subjects who experienced QTcF increases  $\geq$ 30 ms during Period 2 (Days 11 through 16) ranged from 5 of 32 subjects (15.6%) who received placebo to 9 of 29 subjects (31%) in the quetiapine group; 2 placebo-treated subjects had QTcF increases  $\geq$ 60 ms. No asenapine treated subject had a QTcF increase  $\geq$ 60 ms during either treatment period (Table 6).

Table 6: Categorization of QTcF maximum increase from baseline by treatment group

		<i>O</i> 1						
	N (%) of Subjects by Maximum QTcF Increase from Baseline							
Study Day		<30 msec	30-<60 msec	≥60 msec				
Treatment	N	n (%)	n (%)	n (%)				
Day 1 <sup>a</sup> through Day 10								
Placebo	35	27 ( 77.1%)	6 ( 17.1%)	2 ( 5.7%)				
Asenapine 5 mg	38	31 (81.6%)	7 (18.4%)	0 ( 0.0%)				
Asenapine 15 mg	38	28 (73.7%)	10 ( 26.3%)	0 ( 0.0%)				
Quetiapine 375 mg	37	22 ( 59.5%)	14 ( 37.8%)	1 ( 2.7%)				
Day 11 through Day 16								
Placebo	32	27 ( 84.4%)	3 ( 9.4%)	2 ( 6.3%)				
Asenapine 10 mg	28	20 (71.4%)	8 (28.6%)	0 ( 0.0%)				
Asenapine 20 mg	30	23 (76.7%)	7 (23.3%)	0 ( 0.0%)				
Quetiapine 375 mg	29	20 (69.0%)	9 (31.0%)	0 ( 0.0%)				

Source: 11.1.2.01.01.06

a Post dose

Sponsor's Table 38, page 95 of CSR for A750-1001

# 4.2.7.3 Safety Analysis

There were no deaths reported in this trial.

Three subjects experienced serious adverse events- a 51-year-old man, experienced severe atrial fibrillation on Day 1 after receiving a 5 mg dose of asenapine. He required hospitalization and was withdrawn from the trial. A 40-year-old woman, experienced a change in intensity of sinus tachycardia from mild to moderate on Study Day 9, and she was hospitalized. She was receiving quetiapine 375 mg b.i.d.. Study drug was discontinued and she was withdrawn from the trial. A 38-year-old woman experienced the adverse event of severe schizoaffective disorder 1 day after completing screening and starting to taper off her antipsychotic medication.

Nine subjects, including 2 who experienced serious cardiac adverse events, discontinued from the trial due to adverse events. One of these subjects discontinued from the trial due

to laboratory abnormalities (elevated LFT). Five discontinued due to psychiatric adverse events .

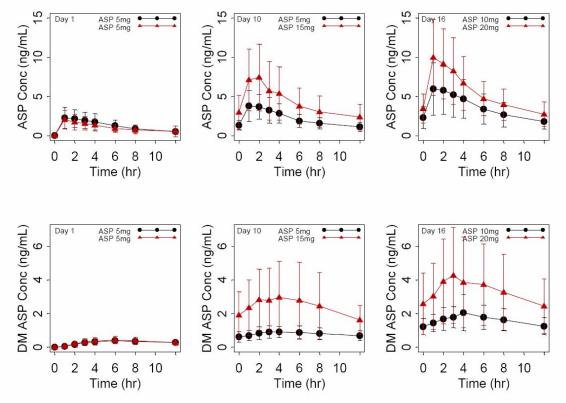
The adverse events, other than oral adverse events (dry mouth, dysgeusia), experienced by 3 or more asenapine-treated subjects and reported for a higher percentage of asenapine-treated subjects than quetiapine- or placebo- treated subjects were somnolence, restlessness, anxiety and dizziness, constipation and fatigue, akathisia, gait disturbance, nasal congestion, loose stools, and dysarthria.

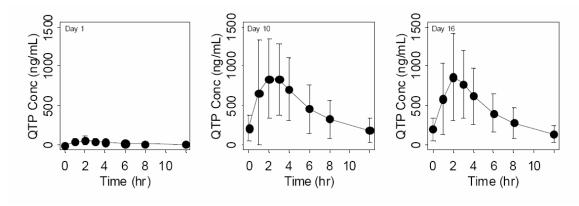
## 4.2.7.4 Clinical Pharmacology

## 4.2.7.4.1 Pharmacokinetic Analysis

Mean  $C_{max}$  and AUC values were similar between treatment groups on Day 1, when the initial dose was 5 mg for both groups. Differences between groups in mean  $C_{max}$  and AUC on Day 10 (5 or 15 mg b.i.d.) and Day 16 (10 or 20 mg b.i.d.) appeared less than proportional to dose for asenapine and asenapine N-oxide, but were proportional to dose for desmethyl asenapine. Mean  $t\frac{1}{2}$  values on Day 16 were similar between groups for both asenapine and desmethyl asenapine.

Figure 2: Mean (±SD) Plasma Concentration-Time Profiles for Asenapine (ASP), des-Methyl Asenapine (DM ASP) and Quetiapine (QTP)





Sponsor's Figures 5 and 6, pages 106 and 109 of CSR for A750-1001

Table 7: Mean (%CV) PK estimates for Asenapine, des-Methyl Asenapine and N-Oxide Asenapine

### Asenapine

Treatment Group = Asen	apine 5/10 r	ng				
	Da	y = 1, Dose = 5	Day :	= 10, Dose = 5	Day = 1	16, Dose = 10
		N = 35		N = 28		N = 25
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)
Cmax, ng/mL	35	2.61 (50.2)	28	4.23 (45.3)	25	6.56 (50.9)
tmax, hr	34	1.92 (51.5)	28	1.79 (46.9)	25	2.01 (46.0)
AUC <sub>(0-tlqc)</sub> , ng*hr/mL	35	15.4 (49.1)		NA		NA
AUC <sub>(0-T)</sub> , ng*hr/mL		NA	28	26.6 (38.4)	25	43.4 (53.1)
t½, hr		NA		NA	20	24.1 (41.3)

	Da	y - 1, Dose - 3	Day –	10, Dose - 13	Day –	16, Dose – 20
		N = 35		N = 33		N = 29
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)
Cmax, ng/mL	35	2.29 (55.1)	33	8.05 (54.2)	29	10.6 (48.2)
tmax, hr	34	2.48 (109)	33	1.66 (65.8)	29	1.70 (56.1)
AUC <sub>(0-tlqc)</sub> , ng*hr/mL	35	12.4 (54.7)		NA		NA
AUC <sub>(0-T)</sub> , ng*hr/mL		NA	33	51.2 (56.0)	29	66.1 (46.4)
t½, hr		NA		. NA	20	22.4 (23.4)

Source: Appendix B.1 tables 1.2.1 through 1.2.6

Des-methyl asenapine

N = Number of subjects included in the pharmacokinetic analysis n = Number of subjects for this parameter NA = Not applicable

Treatment Group = Aser	napine 5/10	mg				
	Day	/ = 1, Dose = 5	Day	= 10, Dose = 5	Day =	= 16, Dose = 10
		N = 35		N = 28		N = 25
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)
Cmax, ng/mL	35	0.410 (37.3)	28	1.02 (38.8)	25	2.21 (48.3)
tmax, hr	34	6.57 (30.9)	28	4.47 (40.1)	25	4.73 (46.7)
AUC <sub>(0-tiqc)</sub> , ng*hr/mL	35	3.29 (44.9)		NA		NA
AUC <sub>(0-т)</sub> , ng*hr/mL		NA	28	9.65 (38.9)	25	19.6 (35.7)
t½, hr		NA		NA	24	16.6 (31.8)
Treatment Group = Aser	napine 15/2	0 mg				
	Day	/ = 1, Dose = 5	Day	= 10, Dose = 15	Day =	= 16, Dose = 20
		N = 35		N = 33		N = 29
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)
Cmax, ng/mL	35	0.457 (52.2)	33	3.21 (70.5)	29	4.52 (63.2)
tmax, hr	33	6.07 (30.2)	32	3.15 (42.7)	29	3.80 (42.1)
AUC <sub>(0-tiqc)</sub> , ng*hr/mL	35	3.63 (52.7)		NA		NA
AUC <sub>(0-т),</sub> ng*hr/mL		NA	33	29.6 (72.5)	29	40.5 (64.9)
t½, hr		NA		NA	27	17.6 (46.3)

t½, hr NA
Source: Appendix B.2 tables 2.2.1 through 2.2.6
N = Number of subjects included in the pharmacokinetic analysis
n = Number of subjects for this parameter
NA = Not applicable

## N-oxide asenapine

Treatment Grou	p = Asena	apine 5/10 mg					
	Day = 1, Dose = 5		Day	v = 10, Dose = 5	Da	y = 16, Dose = 10	
		N = 35		N = 28		N = 25	
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)	
Cmax, ng/mL	35	0.0426 (154)	28	0.103 (119)	25	0.180 (48.7)	
n>0	11		18		22		
Treatment Grou	p = Asena	apine 15/20 mg					
	Day	y = 1, Dose = 5	Day	Day = 10, Dose = 15		Day = 16, Dose = 20	
		N = 35		N = 33		N = 29	
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)	
Cmax, ng/mL	35	0.0481 (136)	33	0.221 (93.0)	29	0.303 (68.0)	
n>0	13		27		24		

Source: Appendix B.1 tables 3.2.1 through 3.2.2 N = Number of subjects included in the pharmacokinetic analysis

n = Number of subjects for this parameter
n>0 = Number of subjects with Cmax greater than zero

Quetiapine

Treatment Group = Que	tiapine 375	mg					
	Da	y = 1, Dose = 25	Day :	= 10, Dose = 375	Day = 16, Dose = 375		
		N = 34		N = 28		N = 25	
Parameter	n	Mean (%CV)	n	Mean (%CV)	n	Mean (%CV)	
Cmax, ng/mL	34	79.7 (60.9)	28	1180 (49.9)	25	1070 (35.9)	
tmax, hr	34	1.89 (45.7)	28	2.39 (41.1)	25	2.11 (45.2)	
$AUC_{(0\text{-tlqc})}, \ ng^*hr/mL$	34	312 (54.9)		NA		NA	
$AUC_{(0-T)}$ , $ng*hr/mL$		NA	28	6220 (48.9)	25	5610 (47.5)	
t½, hr		NA		NA	17	7.15 (17.5)	

Source: Appendix B.1 tables 4.2.1 through 4.2.3

N = Number of subjects included in the pharmacokinetic analysis (all-zero profiles excluded)

n = Number of subjects for this parameter

NA = Not applicable

Sponsor's Figures 5 and 6, pages 102-105, of CSR for A750-1001

#### 4.2.7.4.2 Exposure-Response Analysis

The exposure-response relationships of QTcF with asenapine, with its metabolites, desmethyl-asenapine and asenapine N-oxide, and with quetiapine were evaluated using linear mixed effects modeling. The relationship between QTcF and asenapine, des-methyl asenapine, asenapine N-oxide, and quetiapine was simultaneously modeled using a linear model with slope and intercept parameters. The mathematical representation of the final model after model reduction was:

$$\begin{split} INT_i &= \theta_1 (1 + \theta_6 \cdot SEX) + \eta_{BSV1} + \eta_{IOV1} & \text{ if } Day = -1 \text{ or } 1 \\ INT_i &= \theta_1 (1 + \theta_6 \cdot SEX) + \theta_7 + \eta_{BSV1} + \eta_{IOV1} & \text{ if } Day = 10 \text{ or } 16 \\ SLPasp_i &= \theta_2 + \eta_{BSV2} + \eta_{IOV2} \\ SLPqtp_i &= \theta_5 + \eta_{BSV5} \\ QTcF_{ij} &= INT_i + SLPasp_i \times Casp_{ij} \times I(asp) + SLPqtp_i \times Cqtp_{ij} \times I(qtp) + \varepsilon_{ij} \\ \text{, where} & I(asp) = \begin{cases} 1 & \text{treatment } = asp \\ 0 & \text{otherwise} \end{cases} \text{ and } I(qtp) = \begin{cases} 1 & \text{treatment } = qtp \\ 0 & \text{otherwise} \end{cases} \end{split}$$

In these equations, QTcFij was the j<sup>th</sup> QTcF observation for the i<sup>th</sup> individual,  $\theta1$  represented the population mean estimate of the intercept,  $\theta2$  through  $\theta5$  represented the population mean estimate of the slopes,  $\theta7$  corresponded to mean QTcF prolongation by placebo effect,  $\eta$ BSV represented the inter-individual variance of the corresponding parameter and was assumed to be a normal, independent, and identically distributed random variable with zero mean and variance  $\omega_{BSV}^2(\sim NIID(0, \omega_{BSV}^2))$ . The inter-occasion variance of the corresponding parameter was represented by  $\eta$ IOV and was assumed to be a normal, independent, identically distributed random variable with zero mean and variance  $\omega_{IOV}^2(\sim NIID(0, \omega_{IOV}^2))$ .  $C_{asp}$  and  $C_{qtp}$  corresponded to the observed concentration for each compound and  $\varepsilon_{ij}$  represented the jth residual error for the ith individual and was assumed to be a normal, independent, identically distributed random variable with zero mean and variance  $\sigma^2$  ( $\sim NIID(0, \sigma^2)$ ).

Asenapine was the best predictor of QTcF for the asenapine treatment groups compared to its two metabolites. Given asenapine and inter-occasion variability for intercept and the asenapine slope in the model, inclusion of metabolites into the model did not significantly improve the model's predictive performance. Parameter estimates from the final model are summarized in the following table.

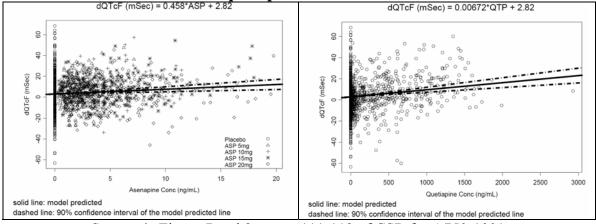
Table 8: Parameter estimates of the population exposure-QTc analysis

Parameter	Parameter	SE	CV%	90% CI	90% CI (Bootstrap)
	Estimate			(SE Derived)	
Intercept (ms)	399	2.78	0.697	(394,404)	(395.404)
BSV (ms)	15.3				
IOV (ms)	5.31				
Increase in Intercept by	2.82	0.834	29.6	(1.44,4.2)	(1.35.4.14)
Placebo Effect (ms)					
Gender Effect (%)	-4.31*	0.0075	-17.4	(-0.0555,-0.0307)	(-0.05520.0302)
Slope for Asenapine	0.458	0.147	32.1	(0.215, 0.701)	(0.232.0.719)
(ms/[ng/mL])					
BSV(ms/[ng/mL])	0.402				
IOV(ms/[ng/mL])	0.567				
Slope for Quetiapine	0.00672	0.00141	21	(0.00439,0.00905)	(0.00423.0.00901)
(ms/(ng/mL))					
BSV(ms/[ng/mL])	0.00374				
Residual Variability (ms)	9.58	0.344	3.59		

Sponsor's Table 52, page 13 of CSR for A750-1001

Plots of observed  $\Delta QTcF$  vs. plasma concentrations for asenapine and quetiapine with model prediction are shown in Figure 3.

Figure 3: Plot of time-matched change from baseline in QTcF vs. plasma asenapine and quetiapine concentrations



Sponsor's Figure 7 and 8, pages 111-112 of CSR for A750-1001

The slopes for asenapine and quetiapine were estimated with reasonable precisions (CV 32% and 21% respectively) and their confidence intervals did not contain zero. The asenapine slope estimate indicates that there is a proportional and statistically significant relationship between QTcF and plasma asenapine concentrations, however the magnitude

of the slope is small and suggests an increase of 0.458 ms in QTcF per ng/mL asenapine concentration.

Table 9 reports expected QTcF increase with 90% confidence intervals at mean  $C_{max}$  of asenapine and quetiapine. The predicted estimates of mean QTcF prolongation at  $C_{max}$  for all doses of asenapine studied (5, 10, 15, and 20 mg b.i.d.) were less than 5 ms and less than those of quetiapine 375 mg b.i.d.. It is notable that the upper limit of the asenapine 90% confidence intervals for the maximum expected increase in QTcF (at  $C_{max}$ ) for the 5 mg and 10 mg treatment groups was less than the expected maximum increase in QTcF (at  $C_{max}$ ) for the quetiapine 375 mg treatment group.

**Table 9: Expected QTcF Increase at Mean Cmax** 

Drug/Dose	Mean Cmax	Expected QTcF	90% CI	90% CI
	(ng/mL)	Increase at Mean	(SE Derived)	(Bootstrap)
		Cmax (ms)		
Asenapine 5mg	4.23	1.9	(0.9,3.0)	(1.0,3.0)
Asenapine 10 mg	6.56	3.0	(1.4,4.6)	(1.5,4.7)
Asenapine 15 mg	8.00	3.7	(1.7,5.6)	(1.9,5.8)
Asenapine 20 mg	10.7	4.9	(2.3,7.5)	(2.5,7.7)
Quetiapine 375 mg (Day 10)	1180	7.9	(5.2,11.0)	(5.0,11.0)
Quetiapine 375 mg (Day 16)	1070	7.2	(4.7,9.7)	(4.5,9.6)

Sponsor's Table 53, pages 113 of CSR for A750-1001

Reviewer's Comment: The reviewer was in general agreement with the sponsor's exposure-QTc modeling. See reviewer's analyses for exposure- $\Delta\Delta$ QTcF modeling, section 5.2.1. The assay sensitivity for this trial was in question in the absence of moxifloxacin arm. However, the effect of quetiapine on QT seemed similar to the data submitted with the paliperidone QT study (NDA 21999). See reviewer's analyses for further details on assay sensitivity.

Additionally, the sponsor also conducted exposure-response (report INT00036960) analyses to assess effect of asenapine administration on the QTc interval in patients with schizophrenia (Phase 3 ACTAMESA study).

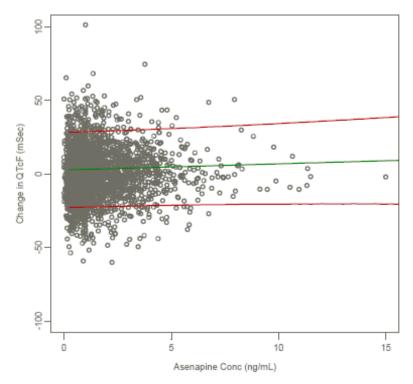
A total of 909 patients were included in the dataset for the asenapine group. All 909 patients included had at least one ECG measurement and 884 patients had at least 1 PK sample collected. Out of 884 patients, 853 patients had at least one PK sample above the quantification limit. Mean  $\pm$  SD (range) average baseline QTcF (corrected QT according to Fridericia) and average baseline heart rate values were 405  $\pm$  16.8 ms (362.7-470.3) and 74.9  $\pm$  14.0 bpm (43-119), respectively. There were a total of 477 males and 432 females.

All data points prior to study drug administration were used for the assessment of the relationship between QTc and heart rate. Visually, QTcF is apparently dependent on heart rate. The population based correction (QTcP) appeared to correct the baseline QT interval for heart rate appropriately for this dataset, where the correction factor was estimated to be 0.4177. This factor is in between Bazett's (0.5) and Fridericia's (0.33). Nevertheless, all exposure-QTc analyses were performed using QTcF as the dependent

variable, because the thorough QTc exposure-response model was developed using QTcF and the main purpose of this analysis was to compare the Phase 3 exposure-response relationship to that of the thorough QTc trial.

When the Phase 3  $\Delta$ QTcF vs. concentrations data were compared to the unconditional prediction interval, they were visually well contained within the prediction intervals for all doses (Figure 4). Overall the observed values show consistency with the prediction interval with a tendency of larger percentage below the median.

Figure 4: Unconditional Prediction Interval Overlaid with Observed  $\Delta\Delta QTcF$  vs. Individual Predicted Asenapine Concentrations from Study 25517, A Phase 3 Study



Sponsor's Figure 4, page 20 from Study INT00036960

According to the sponsor, the exposure-QTcF relationship is consistent between the Phase 3 ACTAMESA study and the thorough QTc study (A7501001).

Reviewer's comment: The reviewer did not thoroughly evaluate the simulations conducted by the sponsor. The major evidence towards the effect on QT was available from the QT trial. The value and predictability of establishing such consistency was not immediately clear. However, it was reassuring to see the consistency between trials.

#### 5 REVIEWERS' ASSESSMENT

#### 5.1 STATISTICAL ASSESSMENTS

The statistical reviewer's evaluation was based on the sponsor's data and in accordance with ICH E14 guidance on Clinical Evaluation of QT/QTc Interval Prolongation and Proarrhythmic Potential for Non-Antiarrhythmic Drugs.

This statistical reviewer also performed analysis based on the time-matched difference in QTcF of the drug and placebo after baseline adjustment at each time point (Table 10). The statistical reviewer used one-way ANOVA to calculate the 2-side 90% confidence interval of mean change in QTcF for each day at each time point.

Table 10:Reviewer's Analysis of Difference in Least Square Means from Placebo of

**Time Matched Change from Baseline in QTcF** 

Treatment Comparison	Time Post-	N	Difference	90%	90%
	Dose (hour)		(SE)	Lower	Upper
Day 10					
Asenapine 5 mg b.i.d. vs Placebo	1	30	0.9(4.2)	-6.0	7.9
	2	30	2.6 (3.4)	-3.0	8.2
	3	30	5.0 (3.9)	-1.5	11.4
	4	30	5.8 (3.0)	0.8	10.8
	6	30	4.1 (3.0)	-0.8	8.9
	8	29	5.8 (3.4)	0.3	11.3
	12	29	0.8 (3.6)	-5.1	6.6
Asenapine 15 mg b.i.d. vs Placebo	1	33	5.6 (3.7)	-0.6	11.7
	2	33	6.4 (3.4)	0.9	12.0
	3	33	8.7 (3.5)	3.0	<b>14.4</b>
	4	33	8.0 (3.4)	2.5	13.6
	6	33	5.1 (2.5)	0.9	9.2
	8	33	6.2 (3.2)	0.9	11.3
	12	32	1.2 (3.2)	-4.1	6.5
Day 16					
Asenapine 10 mg b.i.d. vs Placebo	1	27	3.4 (3.3)	-2.0	8.8
	2	27	10.5 (3.6)	4.5	16.5
	3	27	-0.4 (3.8)	-6.6	5.9
	4	27	9.3 (4.4)	2.0	16.5
	6	26	6.0(3.8)	-0.3	12.3
	8	26	5.0 (4.3)	-2.0	12.1
	12	26	0.2 (4.9)	-7.8	8.3
Asenapine 20 mg b.i.d. vs Placebo	1	29	2.6 (3.5)	-3.2	8.4
-	2	29	5.2 (3.6)	-0.7	11.2
	3	29	-1.1 (4.3)	-8.1	5.9
	4	28	4.9 (4.1)	-1.9	11.6
	6	29	-1.3 (3.8)	-7.5	4.9
	8	29	-1.8 (4.1)	-8.5	5.0
	12	29	-1.4 (4.6)	-9.0	6.2

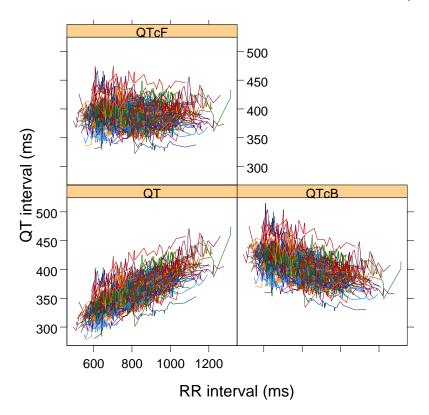
For all dose combinations of asenapine (5/10 mg b.i.d., 15/20 mg b.i.d.), the largest upper bounds of the two-sided 90% confidence interval for asenapine vs. placebo differences after baseline adjustments were above the 10 ms threshold.

Therefore, this statistical reviewer's analysis confirms the sponsor's results that asenapine at the study doses prolongs the QTc interval.

#### 5.2 CLINICAL PHARMACOLOGY ASSESSMENTS

The observed QT-RR interval relationship is presented in Figure 5 together with the Bazett's (QTcB), Fridericia (QTcF). The QTcF method was reasonable QT correction methods removing the heart rate effect in QT illustrated by a horizontal trend in the QTc vs. RR relationship. The QTcF correction method was used for the reviewer's concentration-QTc analysis.

Figure 5: Baseline day QT, QTcB, QTcF, and QTcLD vs. RR (Each Subject's Data Points are Connected with a Line).

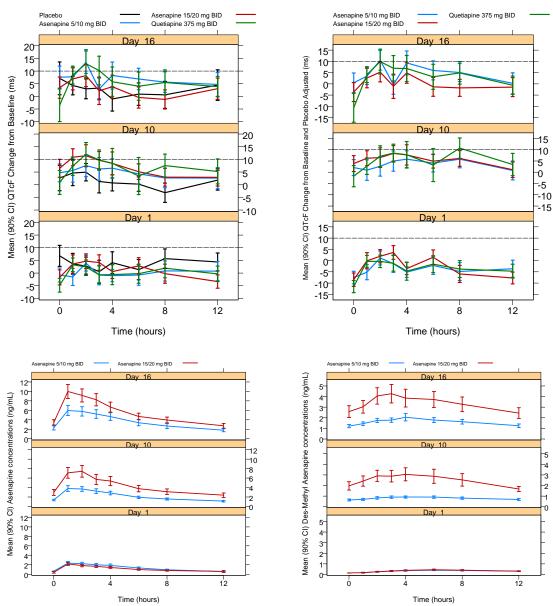


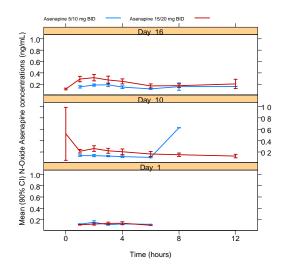
#### **5.2.1** Exposure-Response Analyses

### **5.2.1.1** ΔΔQTcF and Concentration-Time Profiles

The mean  $\Delta QTcF$  (change from baseline),  $\Delta \Delta QTcF$  (change from baseline and placebo corrected), as enapine, des-methyl as enapine and n-oxide as enapine concentration profiles are shown in Figure 6.

Figure 6: Mean  $\Delta QTcF$  (Change from Baseline),  $\Delta \Delta QTcF$  (top right), and as enapine, des-methyl as enapine, n-oxide as enapine and quetiapine concentration profiles for all treatment groups on days 1, 10 and 16.





The maximum mean  $\Delta\Delta QTcF$  of 8-10 ms occurs around 2-4 hours postdose for all treatment arms which closely matches with parent (asenapine or quetiapine) plasma concentration time profile. The graph (not shown) exploring delay in QT effect compared to parent drug concentration profile also supported use of parent drug concentration as a predictor (consistent with the sponsor's results).

#### **5.2.1.2** Concentration-ΔΔQTcF Modeling for Asenapine

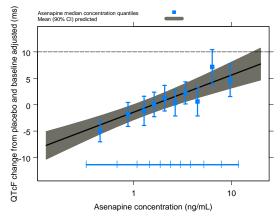
The relationship between asenapine concentrations and QT interval was investigated by using log-linear mixed-effects models. Data collected from the two asenapine dose groups at days 1, 10 and 16 were used for the asenapine concentration-QTcF analysis. Table 11 summarizes the results of the asenapine-QTcF analyses.

Table 11: Exposure-Response Analysis of asenapine associated ΔΔQTcF

ΔΔQTcF=Intercept+slope•log(asenapine concentration)				
Intercept, ms	-1.41 (-2.86; 0.04)	6.23		
Slope, ms per log ng/mL	3.05 (2.08; 4.02)	3.27		
Residual variability, ms	11.48			

The relationship between quetiapine concentrations and QT prolongation is visualized in Figure 7.

Figure 7:  $\Delta\Delta QTcF$  vs. As enapine Concentration with Observed Median-Quantile Concentrations and Associated Mean  $\Delta\Delta QT$  (90% CI) overlaid (blue dots).



The expected QT prolongation at mean asenapine  $C_{max}$  (10.6 ng/mL) of suprtherapeutic dose (20mg b.i.d. dose) was 5.8 ms (8.3 ms, 90% upper confidence limit).

#### 5.2.1.3 Concentration-ΔΔQTcF Modeling for Quetiapine

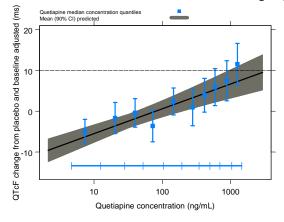
The relationship between quetiapine concentrations and QT interval was investigated by using log-linear mixed-effects models. Data collected from the 375mg b.i.d. quetiapine dose group at days 1, 10 and 16 were used for the quetiapine concentration-QTcF analysis. Table 12 summarizes the results of the quetiapine-QTcF analyses.

Table 12: Exposure-Response Analysis for Quetiapine

ΔΔQTcF=Intercept+slope•log(quetiapine concentration)					
Intercept, ms	-11.59 (-14.93; -8.24)	4.96			
Slope, ms per log ng/mL	2.64 (1.78; 3.5)	1.98			
Residual variability, ms	13.05				

The relationship between quetiapine concentrations and QT prolongation is visualized in Figure 8.

Figure 8:  $\Delta\Delta QTcF$  vs. quetiapine concentration with observed median-quantile concentrations and associated mean QT (90% CI) prolongation overlaid (blue dots).



The expected QT prolongation at mean quetiapine  $C_{max}$  (1069.8 ng/mL) of quetiapine dose (375mg b.i.d. dose) was 7 ms (10 ms, 90% upper confidence limit).

## 5.2.1.4 Assay Sensitivity

Due to absence of moxifloxacin in the QT study, the assay sensitivity was established with the active control, quetiapine. This was performed by comparing the expsoure-response relationship from the current study with the quetiapine data submitted to NDA 21,999 (for paliperidone) as shown in Table 13.

Table 13. Comparison of the Exposure-Response Relationship for Quetiapine

	Study A750-1001 375 mg b.i.d. x 16 days Quetipine	NDA 21,999 Study R096477- SCH-1014 400 mg b.i.d. x 10 days Quetipine
Slope, ms per log ng/ml	2.6 (1.8; 3.5)	3.5 (2.6, 4.5)
Intercept, ms	-11.6 (-14.9; -8.2)	-15 (-21.2, -9.3)
Predicted ΔΔQTc, ms	6.7 ms (3.2, 10.2) for a mean C <sub>max</sub> of 1000 ng/ml	9.1 ms (7.2, 11.1) for a mean Cmax of 1000 ng/ml

The exposure-response relationship for the two studies was found to be consistent. Therefore, in reviewer's opinion the data from the current study are interpretable.

#### 6 CLINICAL ASSESSMENTS

None of the clinical events identified as of particular importance in the ICH E14 (death, serious ventricular arrhythmia, syncope and seizure) were observed in this study. Two patients had to be discontinued from the study due to atrial fibrillation and sinus tachycardia of moderate severity.

# 7 APPENDIX

# 7.1 HIGHLIGHTS OF CLINICAL PHARMACOLOGY

Therapeutic dose	Schizophrenia: The recommended dose range of Sycrest <sup>®</sup> is 5 mg to 10 mg given twice daily (BID). Sycrest <sup>®</sup> should be administered at an initial daily dose of 5 mg BID. An increase in dose to 10 mg BID is recommended only after clinical assessment.  Bipolar disorder: The recommended dose of Sycrest <sup>®</sup> is 10 mg given twice daily (BID).			
Maximum tolerated dose	subjects with schizophr	the maximally tolerated dose in the population of renia; this dose has been studied in two trials: (the thorough QT/QTc trial).		
Principal adverse events	The principal adverse events considered associated with Sycrest <sup>®</sup> , based on the short-term placebo-controlled trials in schizophrenia and bipolar mania, were sedation, somnolence, akathisia, weight increase, and oral hypoesthesia. In the short-term placebo-controlled bipolar mania trials, dizziness generally occurred early in treatment and was of short duration. The incidence of akathisia was dose related. Long-term treatment with Sycrest <sup>®</sup> did not reveal any clinically relevant differences in the safety profile compared to the short-term trials.  Somnolence, sedation and possible extrapyramidal symptoms are dose limiting adverse events.			
Maximum dose tested	Single Dose	Sono (accidinto Ariato)		
		5 mg (multiple trials)		
	Multiple Dose	20 mg BID for 6 days (A7501001)		
Exposures Achieved at Maximum Tested Dose	Single Dose	Mean (%CV) exposure parameters		
Maximum Tested Dose		C <sub>max</sub> 4.22 (51.2%) ng/mL		
		AUC <sub>0.∞</sub> 32.2 (40.1%) ng.h/mL		
		Source: Module 2.7.2.3.2.1 and Module 2.7.2.3.2.5 – pooled analysis across 15 clinical pharmacology trials (N=334 and 331)		
	Multiple Dose	Mean (%CV) exposure parameters		
		C <sub>max</sub> 10.6 (48.2%) ng/mL		
		AUC <sub>0-12</sub> 66.1 (46.4%) ng.h/mL		
		Source: Module 2.7.2, Table 62, A7501001 (N=29)		
Range of linear PK	Up to a dose of 5 mg BID, C <sub>max</sub> and AUC for asenapine after sublingual administration increase proportional to the dose. Within the therapeutic dose range (5 – 10 mg BID) a deviation from dose-proportionality has biobserved, with C <sub>max</sub> and AUC increasing a factor 1.7 with a two-fold increase in dose. At supratherapeutic doses (> 10 mg BID), this deviation from dose-proportionality is more pronounced.  Source: Module 2.7.2.3.2.8			

Accumulation at steady	A	5 DID						
state	Accumulation ratios at	5 mg BID						
	C <sub>mex</sub> - 0.95							
	AUC - 1.34							
	Source: Module 5.3.3.1 Clinical Trial Report 25542. Note that ratios are based on between subject comparisons. No within-subject accumulation ratios are available at the therapeutic dose.							
Metabolites	The following 5 metabo studies:	olites have been detected in plasma in clinical						
	N-desmethylasenapine: Overall more than 10-fold reduction in binding affinity for human receptors examined compared to asenapine.							
	asenapine N*-glucuron tested.	ide: No appreciable affinity for human receptors						
	N-desmethylasenapine-N-carbamoylglucuronide: Compound has not be profiled pharmacologically, but no activity is expected due to appreciable reduction (more than 10-fold) in binding affinity for the human receptors the N-desmethyl metabolite, further loss of binding activity due to the fact that the nitrogen is no longer basic and expected inability to penetrate the brain.							
	asenapine 11-O-sulfate: Receptor binding pattern broadly similar to asenapine, but compound does not penetrate the brain.							
	asenapine N-oxide: 10 to 1000-fold reduction in binding affinity for human receptors compared to asenapine.							
	Source: Module 2.6.2.2	2.4 and Module 2.4.2.1						
Absorption	Absolute/Relative Bioavailability	Absolute bioavailability (5 mg SL dose)						
	Dicavanacinty	Mean [95% CI]: 34.8 % [31.6 % - 38.7 %]						
		Source: CTD 2.7.1.3.2 – No within-subject assessment of absolute BA has been done, therefore no estimate of between-subject variability in absolute BA is available.						
	Tmax	Median (range) at 5 mg single dose						
		asenapine: 1.0 h (0.33 – 4.0 h)						
		Source: Module 2.7.2.3.2.1, Table 50 – pooled analysis across 15 clinical pharmacology trials (N=334)						
		• N-desmethylasenapine: 6 h (6 – 8 h)						
		asenapine N*-glucuronide: 4 h (4 – 8 h)						
	asenapine 11-O-sulfate: 3.02 h (1.5 – 4.03)							
	Source: Module 5.3.3.3 Clinical Trial Report 25548 (N=0, 0, 5) – Asenapine N-oxide concentrations were mostly below LLOQ, therefore no parameters were calculated. Pl. concentration-time profiles of N-desmethylasenapine-N-carbamoylglucuronic have not been assessed.							

Distribution	Vd/F or Vd	
Distribution	var or va	Mean (%CV) Vd: 1731 L (10.3%)
		Source: Module 5.3.1.1 Report INT00035825, Table 2 – pooled analysis of IV data (0.5 mg asenapine infusion, N=5)
	% bound	Mean (SD) % protein bound:
		95.9 (1.3) %
		Source: Module 5.3.3.3 Clinical Trial Report A7501017, Table 13 (N=33)
Elimination	Route	• Urine (49 %)
		• Feces (39 %)
		Source: Module 5.3.3.1 Clinical Trial Report 25532, Table 11 – Human ADME study (N=4)
	Terminal t½	Mean (%CV) at 5 mg single dose
		asenapine 23.1 h (58.4 %)
		Source: Module 2.7.2.3.2.4, Table 53 – pooled analysis across 13 clinical pharmacology trials with PK sampling for at least 60 h (N=263)
		N-desmethylasenapine 17.1 h (42.4 %)
		asenapine N*-glucuronide: 13.4 h (74.3 %)
		asenapine 11-O-sulfate: 24.0 h (86.3 %)
		Source: Module 5.3.3.3 Clinical Trial Report 25546 (N=6, 6, 5) – Asenapine N-oxide concentrations were mostly below LLOQ, therefore no parameters were calculated. Plasma concentration-time profiles of N-desmethylasenapine-N-carbamoylglucuronide have not been assessed.
	CL/F or CL	Mean (%CV) CL: 51.9 L/h (10.3%)
		Source: Module 5.3.1.1 Report INT00035825, Table 2 – pooled analysis of IV data (N=5)

Intrinsic Factors	Age	Adulta						
intrinsic Lactors	1150	Adults						
		In the age range tested (18 – 57 years) no effect of age on asenapine pharmacokinetics						
		Source: Module 5.3.3.5 Report INT00036i Population pharmacokinetic analysis of Pi data						
		Elderly						
		The pharmacokinetics of asenapine have n been investigated in the elderly. However, a (including pharmacokinetic sampling) is our ongoing in elderly patients with psychosis (A7501021).						
		Pediatric/Add	lescent					
		The steady-state pharmacokinetics in adolesce subjects (12 to 17 years) were compared with the pharmacokinetics in adult subjects in a clinical pharmacokinetics in adult subjects in a clinical pharmacokinetics in the adolescent population. Similar to those observed in adults; however, in adolescents, the 10 mg BID dose did not result higher exposure to asenapine compared to 5 m BID.  Source: Module 2.7.2.3.3.3  No effect of gender on asenapine pharmacokinetics						
	Sex							
			roe: Module 5.3.3.5 Report INT0003666 ulation pharmacokinetic analysis of Phas i					
	Race	No effect of race on asenapine pharmacokin except for 13.8 % lower clearance in Black						
		Source: Module 5.3.3.5 Report INT00036661 Population pharmacokinetic analysis of Phase data  Hepatic impairment: substantial (5-fold) increin exposure (AUC) only for severe hepatic impairment. For unbound asenapine a 7-fold increase in AUC was observed in this group.						
	Hepatic & Renal Impairment							
		mean % chan						
			Mild	Moderate	Severe			
		C <sub>max</sub>	-10 %	-43 %	+3 %*			
		AUC	+12 %	+12 %*	+453 %*			
		Source: Modu A7501018, Ta			eport			
		Renal impaint for varying deg			are similar			
		mean % change versus normal						

			Mild	Moderate	Severe			
		Cmax	+34 %	-18 %	-29 %			
		AUC	+31 %*	+3 %	+6 %"			
		Source: Mod A7501017, T		eport				
Extrinsic Factors	Drug interactions	Effects of co asenapine p	ects of concomitantly administered drugs on napine pharmacokinetics – mean % change					
		- Paroxetine	(20 mg QD –	CYP2D6 inh	nibition)			
		C <sub>max</sub> -13 % /	AUC -9 %					
		Source: Mod 25525	lule 5.3.3.4 Cli	inical Trial R	eport			
		- Fluvoxami	ne (25 mg Bl0	0 - CYP1A2	inhibition)			
		C <sub>max</sub> +13 %	AUC +29 %					
		Source: Mod 041033	lule 5.3.3.4 Cli	inical Trial R	eport			
		- Imipramin CYP1A2/2C	e (75 mg singl 19/3A4 inhibiti	e dose – on)				
		C <sub>max</sub> +17 %	AUC +10 %					
		Source: Module 5.3.3.4 Clinical 7 25528						
		- Cimetidine (800 mg BID – CYP 3A4/2 inhibition)						
		C <sub>max</sub> -13 % /	AUC +1 %					
		Source: Module 5.3.3.4 Clinical Trial Report 25529						
		- Carbamaz induction)	epine (400 mg	g BID – CYP3A4				
		C <sub>max</sub> -16 % /	AUC -16 %					
		Source: Mod 25528	Source: Module 5.3.3.4 Clinical Trial Report 25528					
		- Valproate	(500 mg BID -	- UGT inhibit	ion)			
		C <sub>max</sub> +2 % /	AUC -1 %					
		Source: Mod 25527	lule 5.3.3.4 Cli	inical Trial Report				
	Food Effects	Food (high-fat meal prior to or 4 h after administration): small decrease in exposure probably due to increased liver blood flow						
		Mean chang	e vs fasted					
			High-fat mea pre-dose		fat meal ost dose			
		Creek	-10 %	+2 %	1			
		AUC	-21 %	-13 %	6			
		Source: Module 5.3.1.1 Clinical Trial Rep 041029, Table 5 (N=26)						

Expected High Clinical
Expected High Clinical Exposure Scenario

A theoretical worst case scenario would include severe hepatic impairment (7-fold increase in unbound AUC). However, as per ICH E14 guidance, such worst case scenario would be overruled by the limitations in the maximum dose level that can be administered based on safety or tolerability considerations. This dose level is 20 mg BID for asenapine. As a result, a formal calculation of the worst case exposure is considered redundant.

In the thorough QT/QTc trial (A7501001) for asenapine the 20 mg BID dose regimen was included. Exposures achieved at 20 mg BID (the maximum dose tested) are included on the first page of this document.

#### 7.2 TABLE OF STUDY ASSESSMENTS

Table 3 Schedule of procedures: screening phase

Test/Study Day	Screening	-11	-10	-9	-8	-7	-6			
		Optional								
Informed Consent	Х									
Demographics	X									
Medical and Psychiatric History	Х									
Physical Exam	X									
Vital Signs	Х									
Urine Drug Screen <sup>c</sup>	Х									
Thyroid Panel	Х									
Clinical Laboratories	Х									
Electrocardiogram	Х									
Medication Tapering <sup>b,d</sup>		←					$\rightarrow$			

<sup>&</sup>lt;sup>a</sup> If no medication tapering was required, Screening and Day –5 could be the same day.

Table 4 Schedule of procedures: single-blind placebo run-in phase

Test/Study Day	-5	-4	-3	-2	-1
Clinical Laboratories	$X_p$			×	
Urine Drug Screen	Х				
Serum Pregnancy Test <sup>c</sup>	Xp				
Electrocardiogram					$X^d$
Vital Signs <sup>e</sup>				Х	
Supine/Standing Blood Pressure <sup>e</sup>				Х	
PANSS/CGI-S/ESRS-A/TSQM					Х
Administer Single-Blind Study Medication BID	Xf	×	×	×	X

<sup>&</sup>lt;sup>a</sup> Excluded antipsychotic medication had to be discontinued for at least 5 complete days.

Shorter or longer medication tapering (up to Day -20) was acceptable.

If positive for phencyclidine, cocaine, amphetamines, opiates, or barbiturates, could be repeated 1 week later

d Medication tapering was to be done under the supervision of a physician.

Not required if Screening and Day -5 were the same day.

Women of childbearing potential only. Was not required for women who are postmenopausal and amenorrheic for at least 2 years.

Triplicate ECG measurements taken prior to and 1, 2, 3, 4, 6, 8, and 12 hours following the morning dose.

e Taken at 08:00

Cardiographic telemetry monitoring will begin at 0.5 hours prior to a.m. dose and continue throughout the Treatment Phase of the trial.

Table 6 Table of trial procedures: treatment phase (Periods 1 and 2)

Test/Study Day	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Electrocardiogram (Single Timepoint) <sup>a</sup>			х				X					х				
Electrocardiogram (Multiple Timepoints) <sup>b</sup>	Х									х				,		х
Pharmacokinetics <sup>b</sup>	Х									Х						Х
Supine/Standing Blood Pressure <sup>c</sup>		х				х			х					х		
Vital Signs <sup>d</sup>				х				х				Х	х		×	
Vital Signs <sup>e</sup>	Х	х				х					х			х		
Clinical Laboratories				Х	Щ				Х						Х	
Thyroid Panel																х
PANSS/ESRS-A/CGI-S/CGI-C/TSQM																х
Administer Study Medication BID	Х	х	х	X	X	х	X	х	х	х	х	Х	х	х	X	X <sup>f</sup>
Adverse Events	X	X	X	X	X	X	X	Х	Х	X	х	Х	X	Х	X	X

Table 7 Schedule of trial procedures: restabilization phase

Test/Study Day	+1	+2	+3	Closeout
Physical Exam		J,		Х
Vital Signs				X
Electrocardiogram (Single Timepoint)				х
Clinical Laboratories				Х
Thyroid Panel				Х
Pregnancy Test			5	×

Closeout = Discharge. Subjects could be discharged earlier if, in the opinion of the investigator, the subject was stable.

Triplicate ECG measurements at 2 hours following the morning dose.

Triplicate ECG measurements at 2 hours following the morning dose.

Triplicate ECG measurements and samples for PK taken prior to and 1, 2, 3, 4, 6, 8, and 12 hours following the morning dose. In addition, samples for PK are taken on Day 16 at 16, 24, 36, and 48 hours following the morning dose.

a and 3 hours following the morning dose
2 hours following the morning dose
Prior to morning dose and 2 and 4 hours after morning dose.
Morning dose only administered on Day 16

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/s/

Christine Garnett 2/29/2008 01:03:49 PM BIOPHARMACEUTICS

Pravin Jadhav 2/29/2008 01:15:28 PM BIOPHARMACEUTICS

Joanne Zhang 2/29/2008 02:19:01 PM BIOMETRICS

Yunfan Deng 2/29/2008 02:34:37 PM BIOMETRICS

Suchitra Balakrishnan 2/29/2008 02:41:53 PM MEDICAL OFFICER

Norman Stockbridge 2/29/2008 05:38:59 PM MEDICAL OFFICER